

Introduction to H-mode plasmas: L-H transition, pedestal, ELMs

Ahmed Diallo

Princeton Plasma Physics Laboratory, NJ USA.

**10th ITER International School 2019 @ KAIST - Daejeon South Korea.
Monday Jan 21 2019**

Acknowledgements: R. Maingi, P. Snyder, F. Laggner, and Max Fenstermacher

Material extracted from:

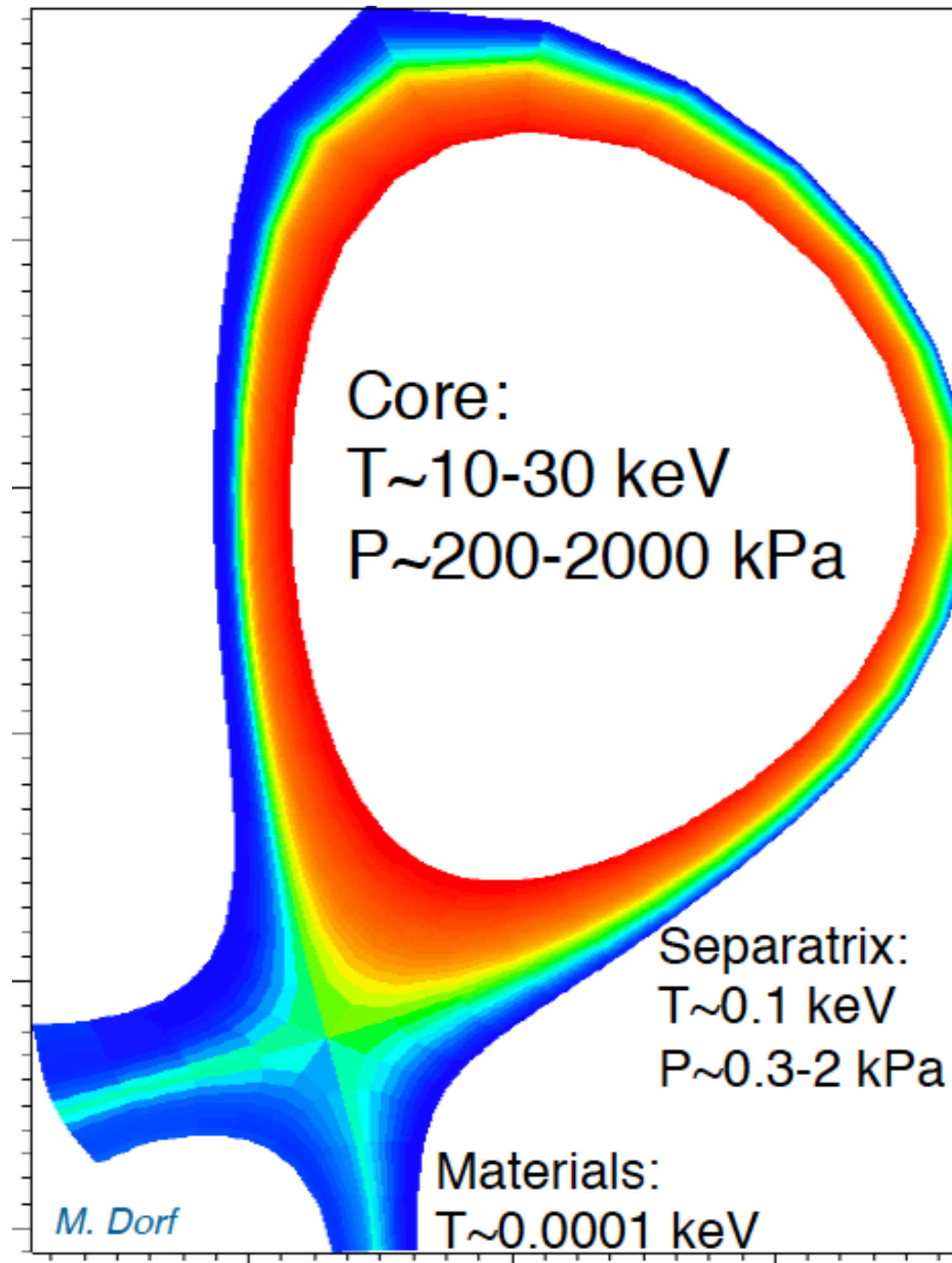
W. Suttrop Advanced PhD course 2010

H. Wilson Lectures on Transport and stability of pedestals in tokamaks 2014

E. Wolfrum Introduction to the physics of the pedestal in between ELMs = 'inter-ELM' 2017

P. Snyder APS-DPP Review talk 2018

Fundamental Challenge: Fusion Conditions in Core Compatible with Edge/Materials



Background: What is H-Mode?

As plasma is increasingly heated past a threshold, there is bifurcation to an improved confinement state.

H-mode = high confinement mode

Plasma state with increased ratio of stored kinetic energy vs heating power

H-mode pedestal = Edge transport barrier

Region of reduced radial transport at the plasma boundary



The critical region of interaction (also known as the H-mode pedestal) mediates the tension between core and edge, and plays a defining role in the performance of both.



Outline

- **L-H transition phenomenology**
 - *Turbulence suppression*
 - *Access condition dependencies*
 - *Radial electric field shear*
- **Formation of the Pedestal**
 - *Brief overview*
 - *Importance of pedestal*
 - *Challenge in diagnosing pedestals*
- **Edge localized modes**
 - *How do we arrive at these ELMs?*
 - *ELM types survey*
- **The type I ELM cycle**
 - *Stability: Description*
 - *Pedestal evolution during ELM cycle*
 - *What control the pedestal?*
- **EPED model a predictive model for the pedestal pressure**
 - *Mechanics*
 - *Other dependencies*
- **Small ELM regimes as a viable option for ITER**
- **Summary**



Outline

- **L-H transition phenomenology**
 - **Turbulence suppression**
 - **Access condition dependencies**
 - **Radial electric field shear**
- Formation of the Pedestal
 - *Brief overview*
 - *Importance of pedestal*
 - *Challenge in diagnosing pedestals*
- Edge localized modes
 - *How do we arrive at these ELMs?*
 - *ELM types survey*
- The type I ELM cycle
 - *Stability: Description*
 - *Pedestal evolution during ELM cycle*
 - *What control the pedestal?*
- EPED model a predictive model for the pedestal pressure
 - *Mechanics*
 - *Other dependencies*
- Small ELM regimes as a viable option for ITER
- Summary

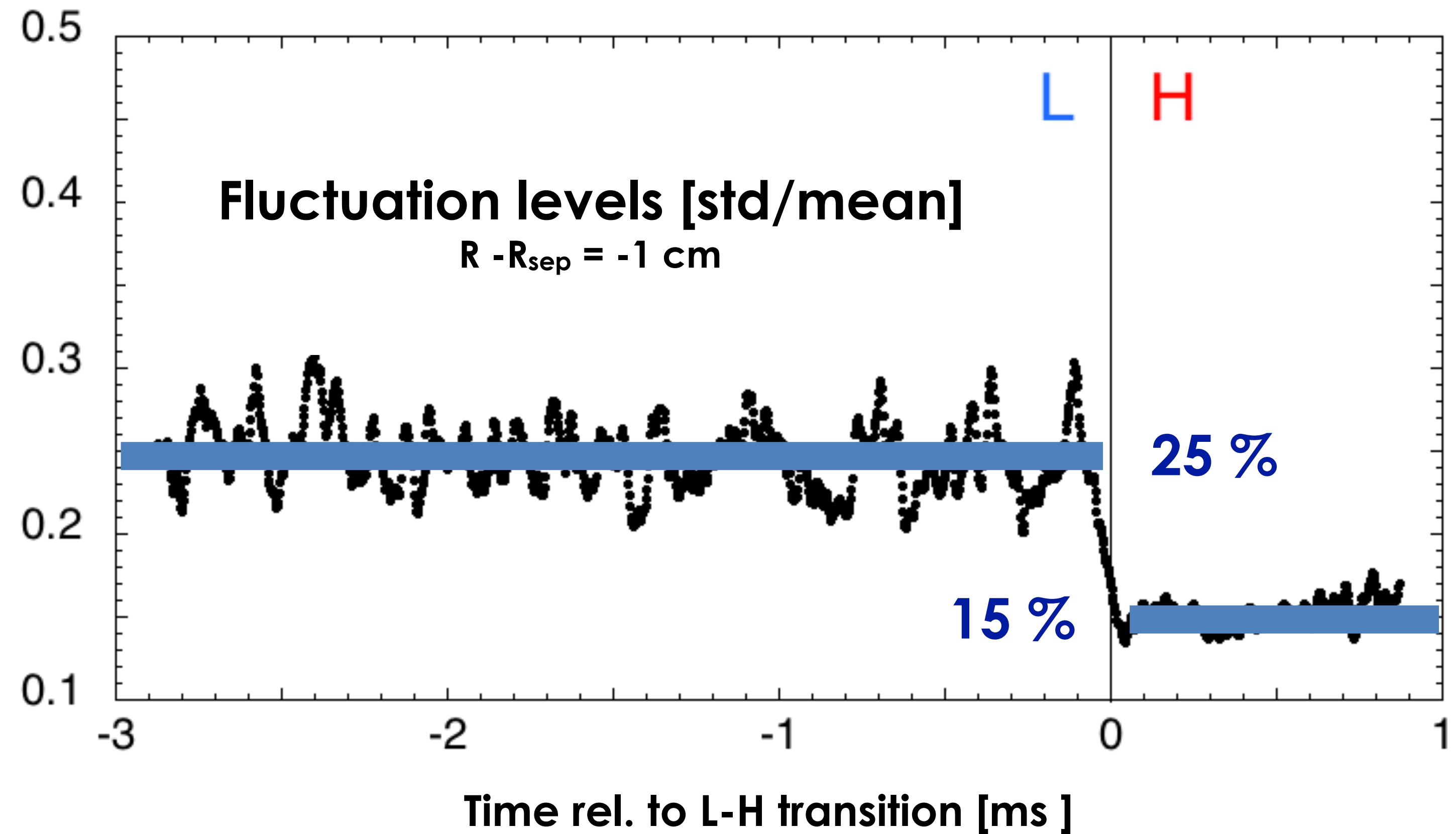
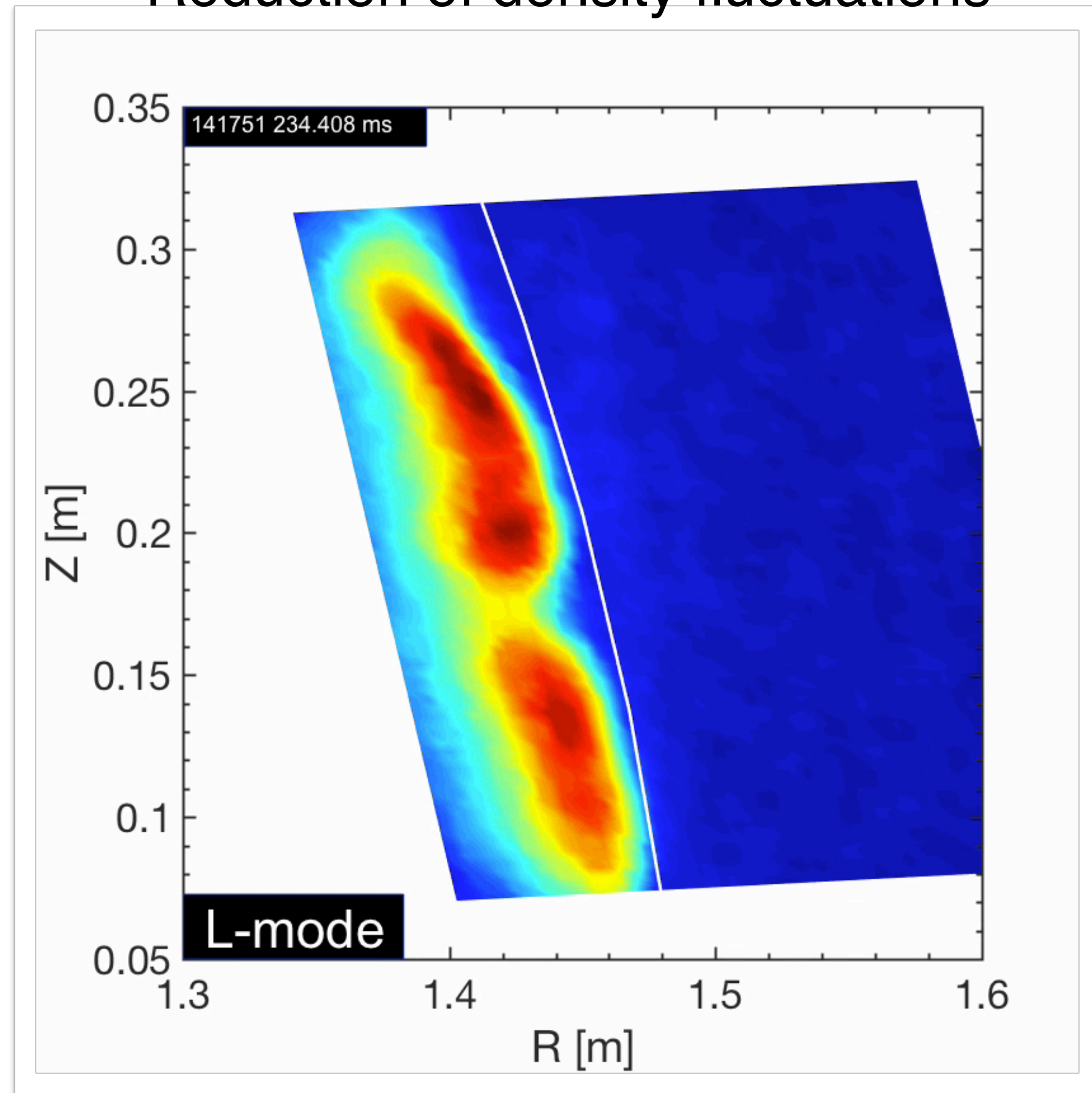


Suppression of Turbulence: characteristic of the H-mode transition

GPI provides edge turbulence images

Views neutral Da light emission

Reduction of density fluctuations



Phenomenology is akin to a phase transition!

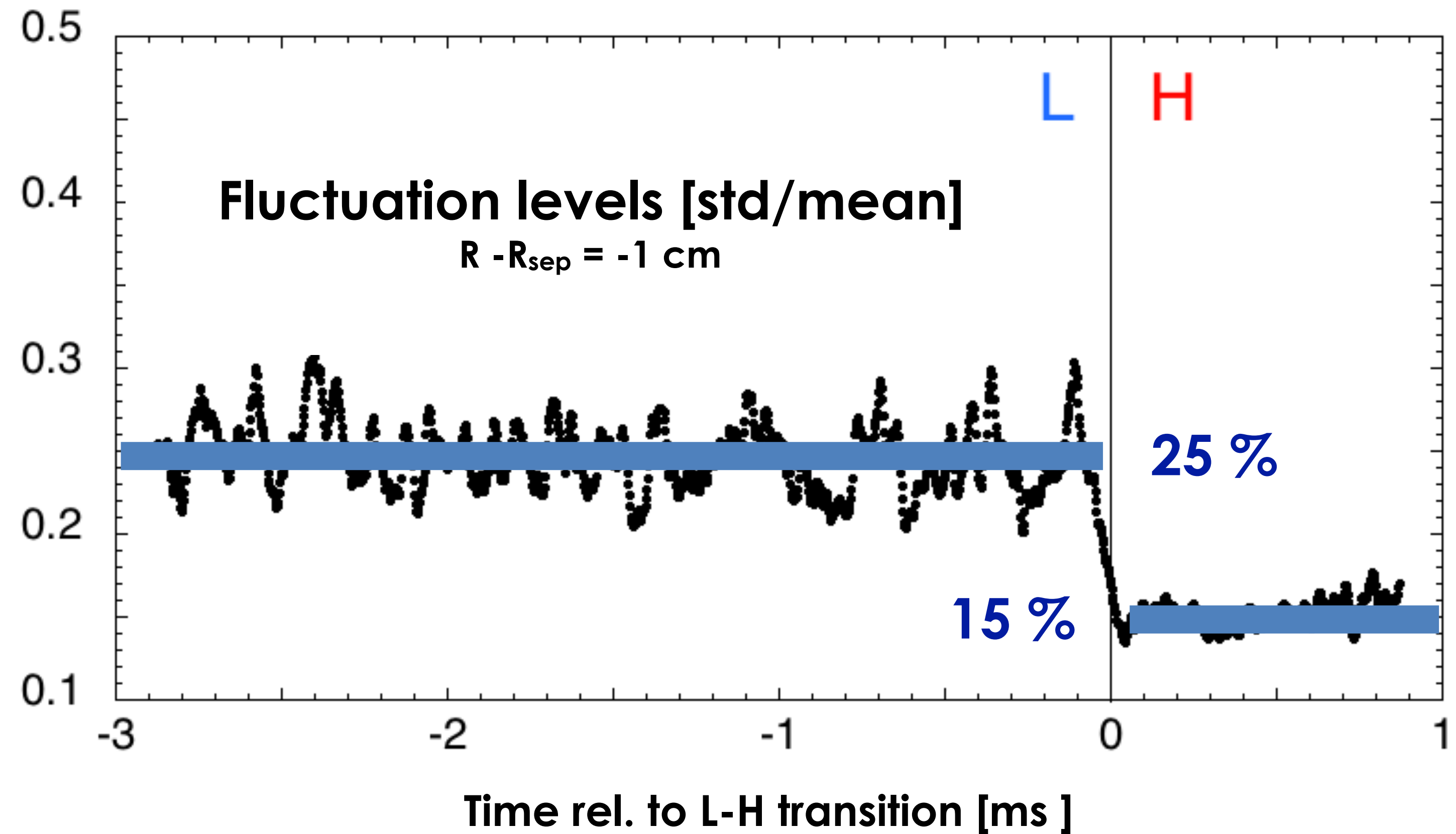
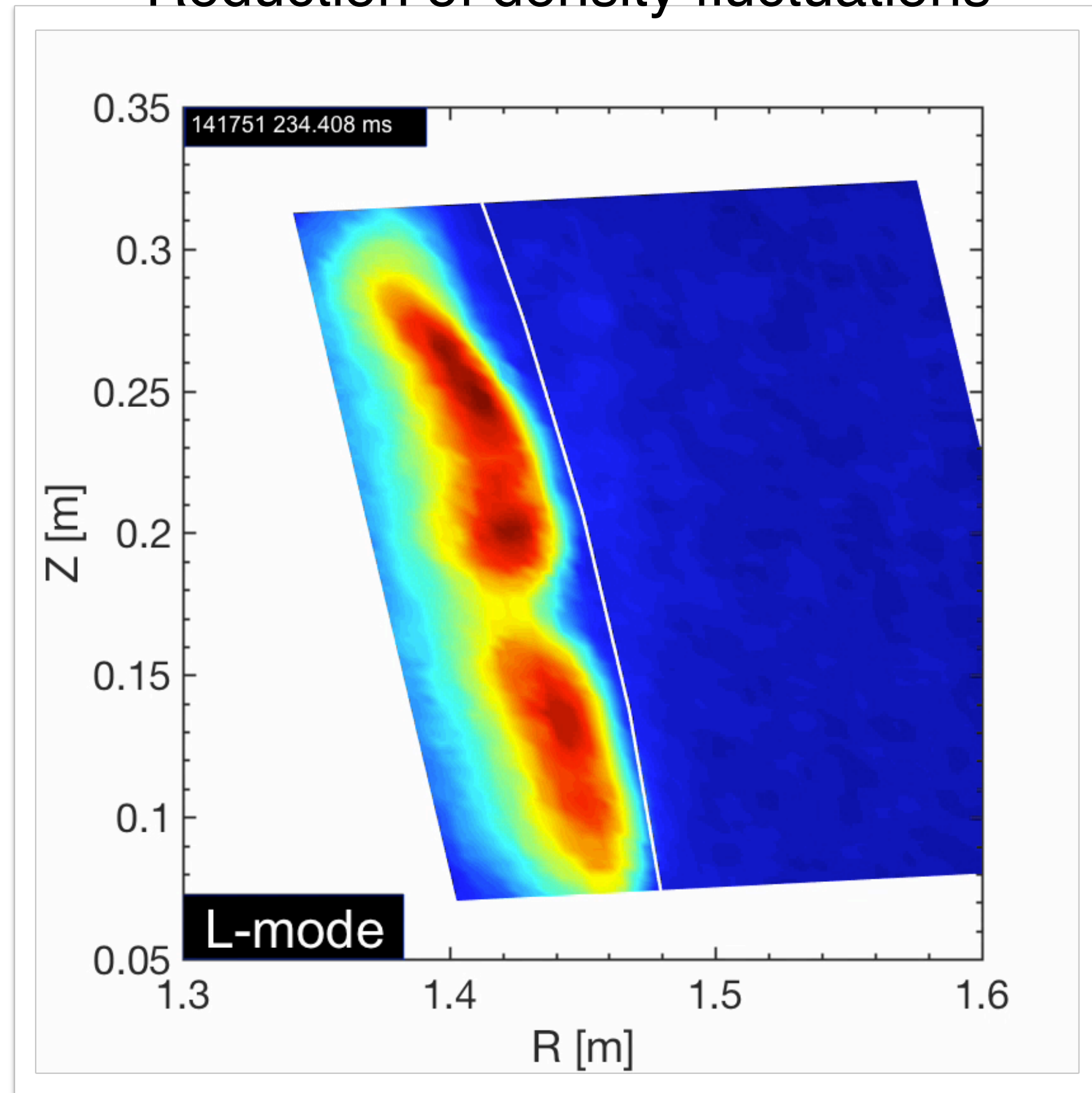


Suppression of Turbulence: characteristic of the H-mode transition

GPI provides edge turbulence images

Views neutral Da light emission

Reduction of density fluctuations

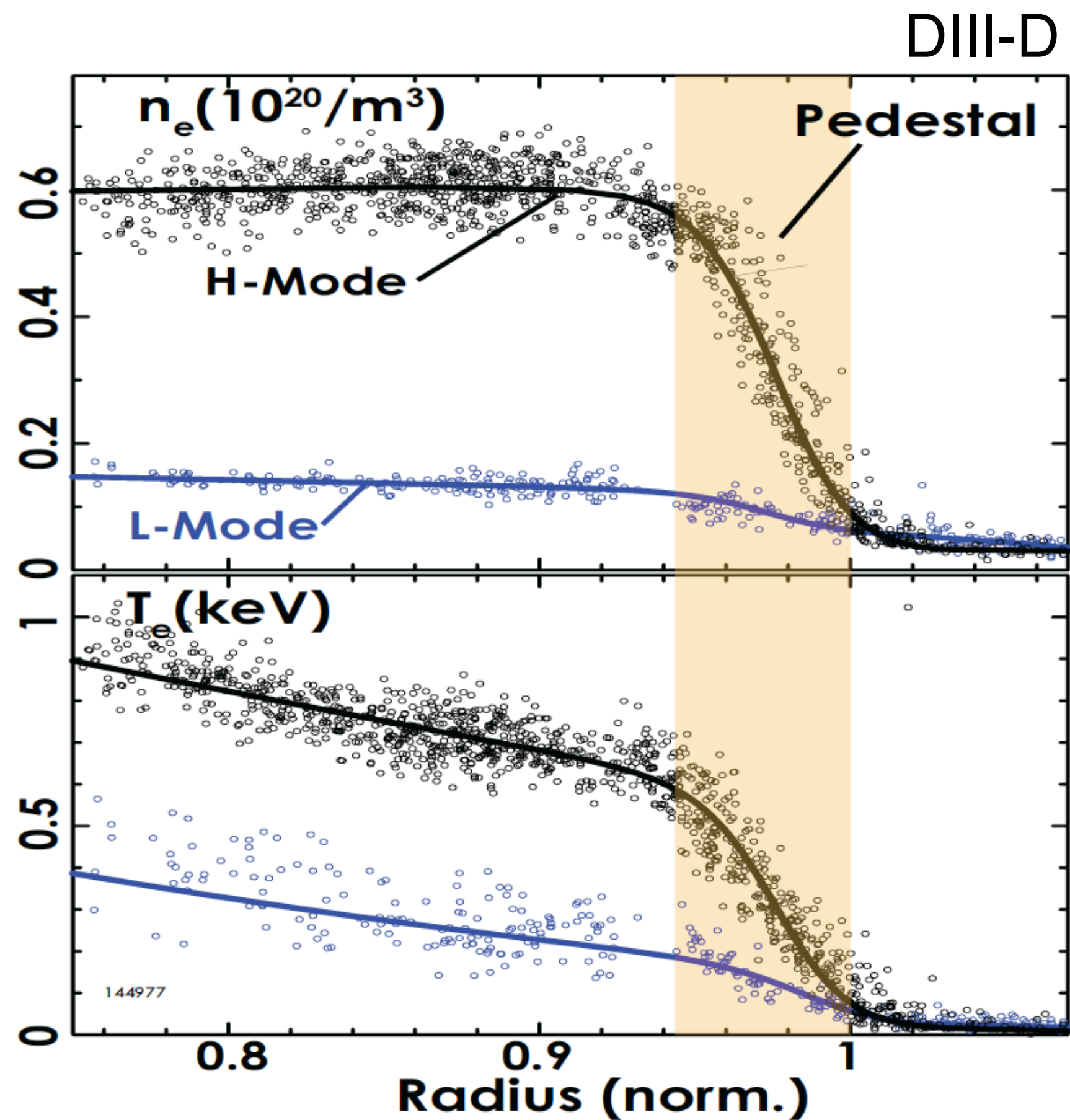


Phenomenology is akin to a phase transition!

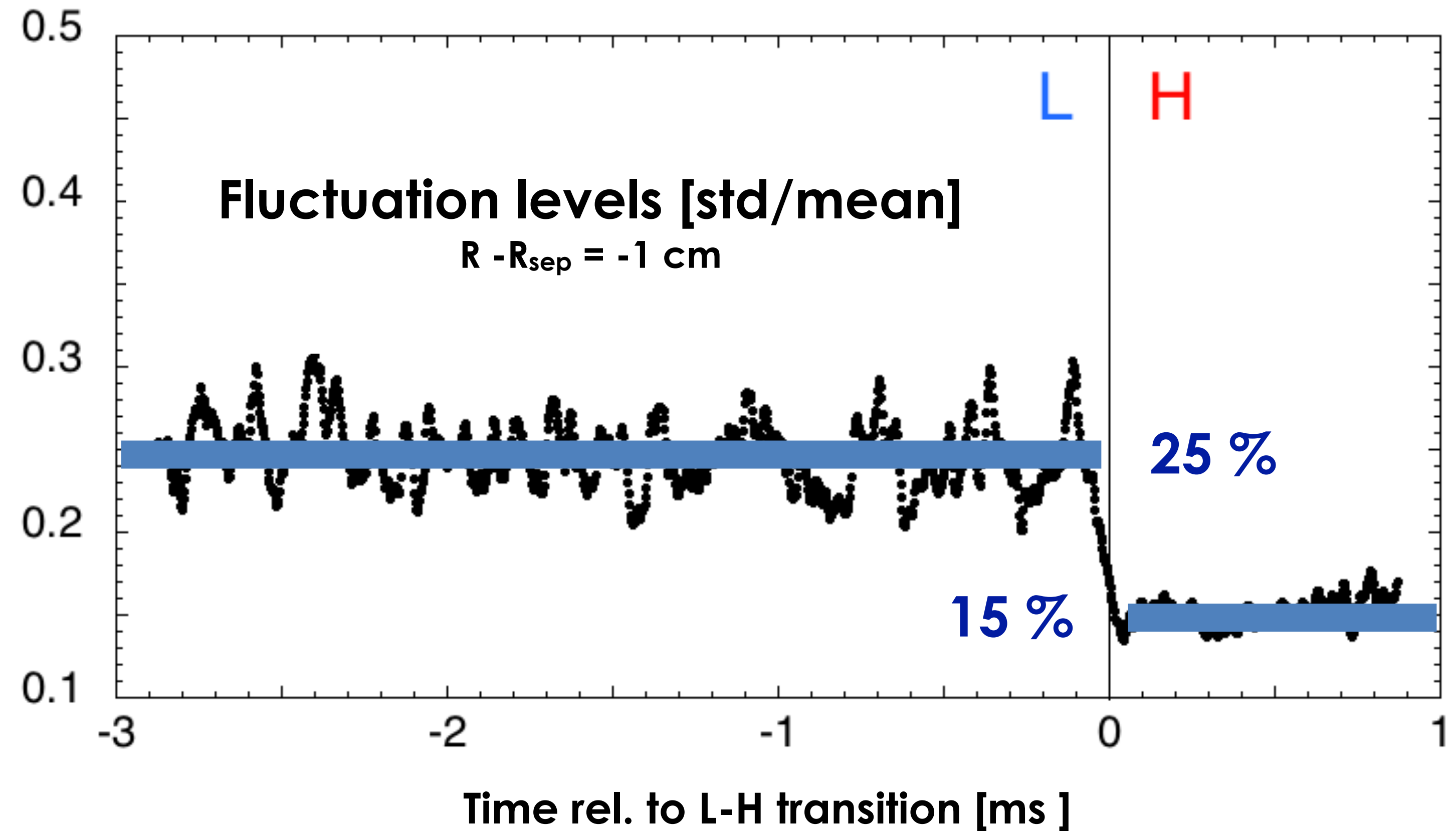


Suppression of turbulence - emergence of a transport barrier

Increased pressure gradient



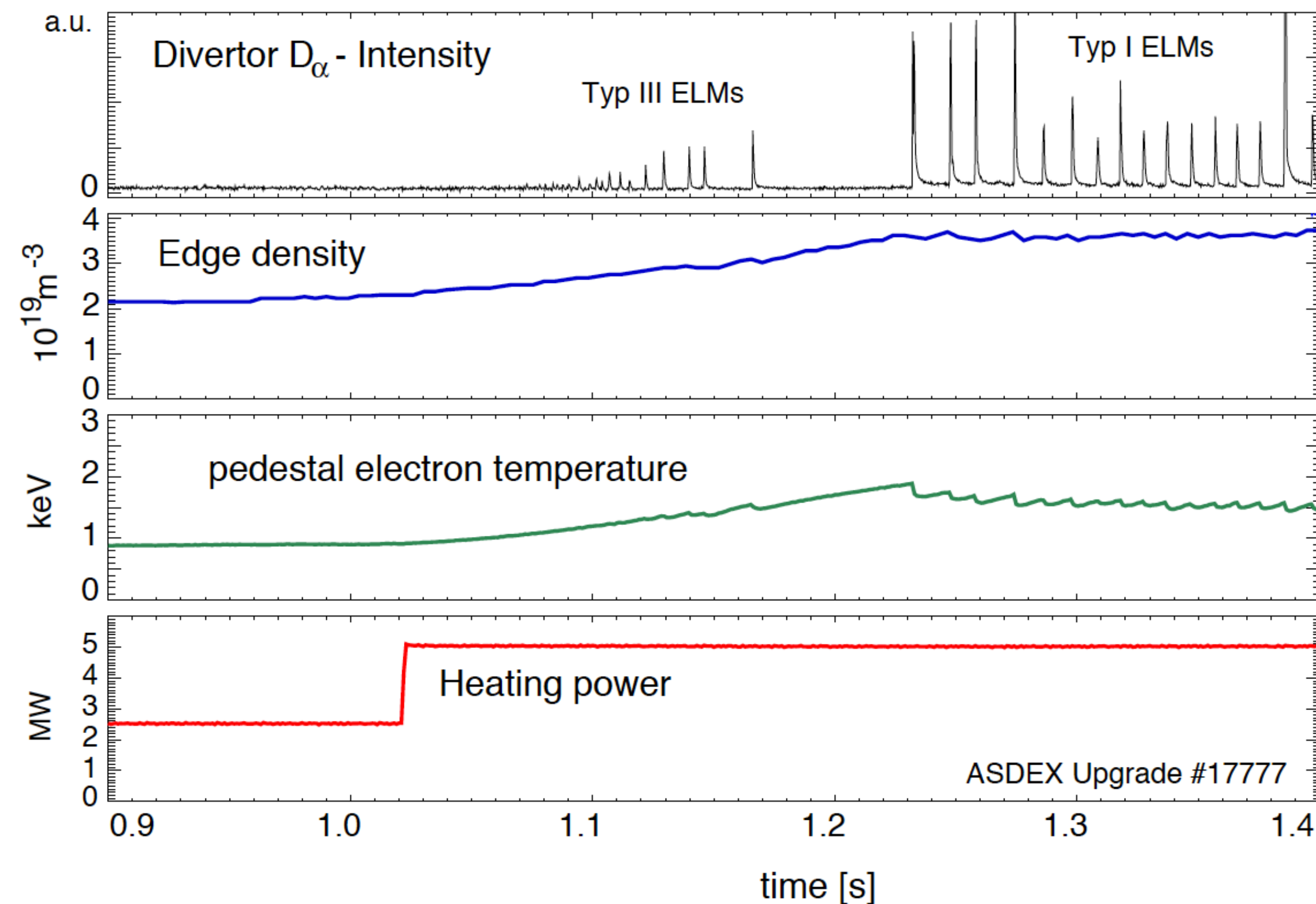
[Courtesy T.H. Osborne]



What limits the rise of the edge pressure gradient?

Steep gradient drives instability (Edge localized Modes)

Cycle consists of: ELM - Loss of density and temperature - reheat - new ELM



Minimum heating power access condition?

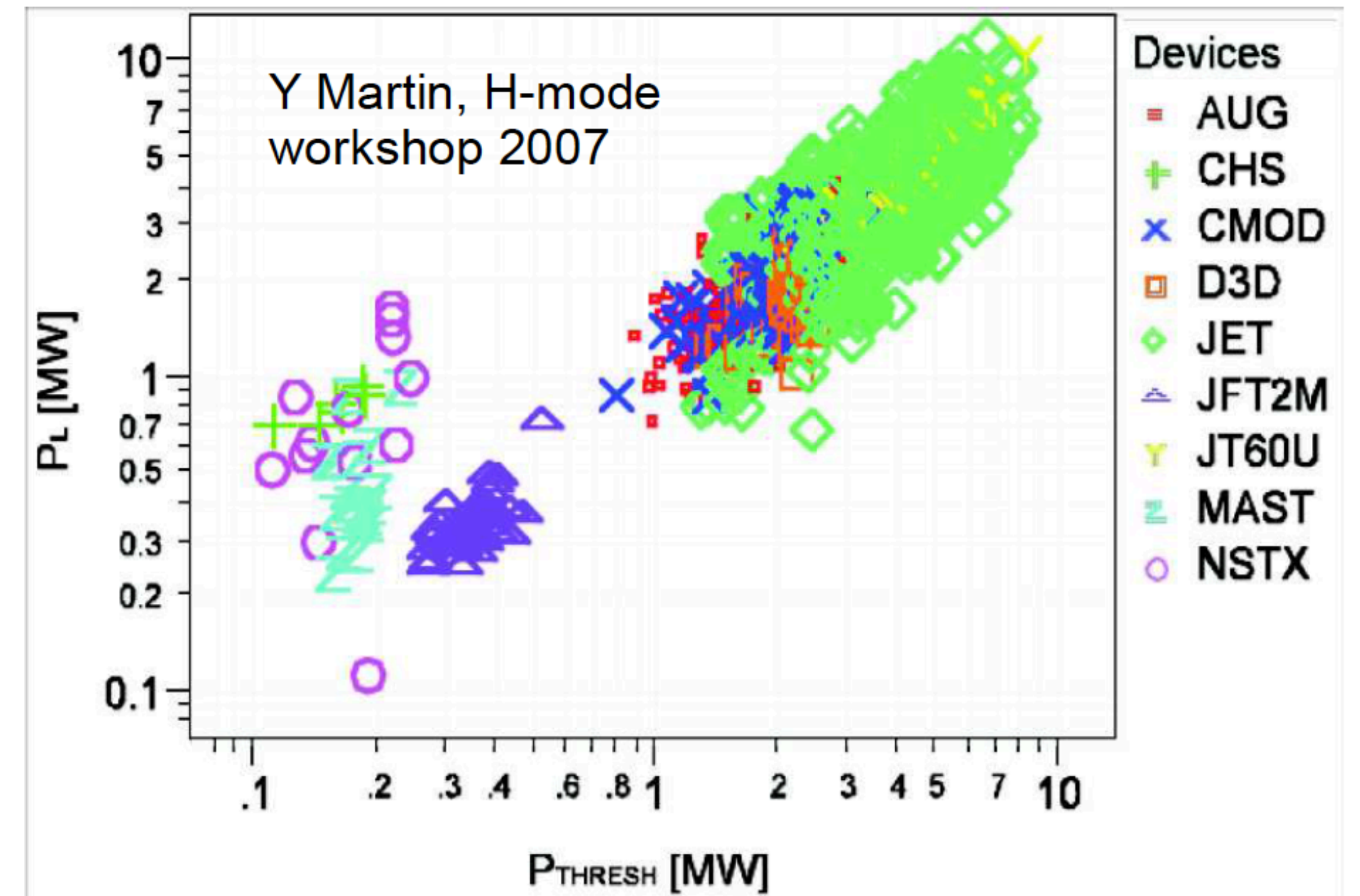
- H-mode transition occurs if loss power P_L across plasma surface is above a threshold power (P_{thresh})

- P_{thresh} is proportional to surface

- P_{thresh} depends on plasma density and toroidal field

- Hysteresis: $P_{L-H} > P_{H-L}$

$$P_L = P_{\text{heat}} - \overset{\text{Stored energy}}{dW/dt} - P_{\text{rad,core}}$$

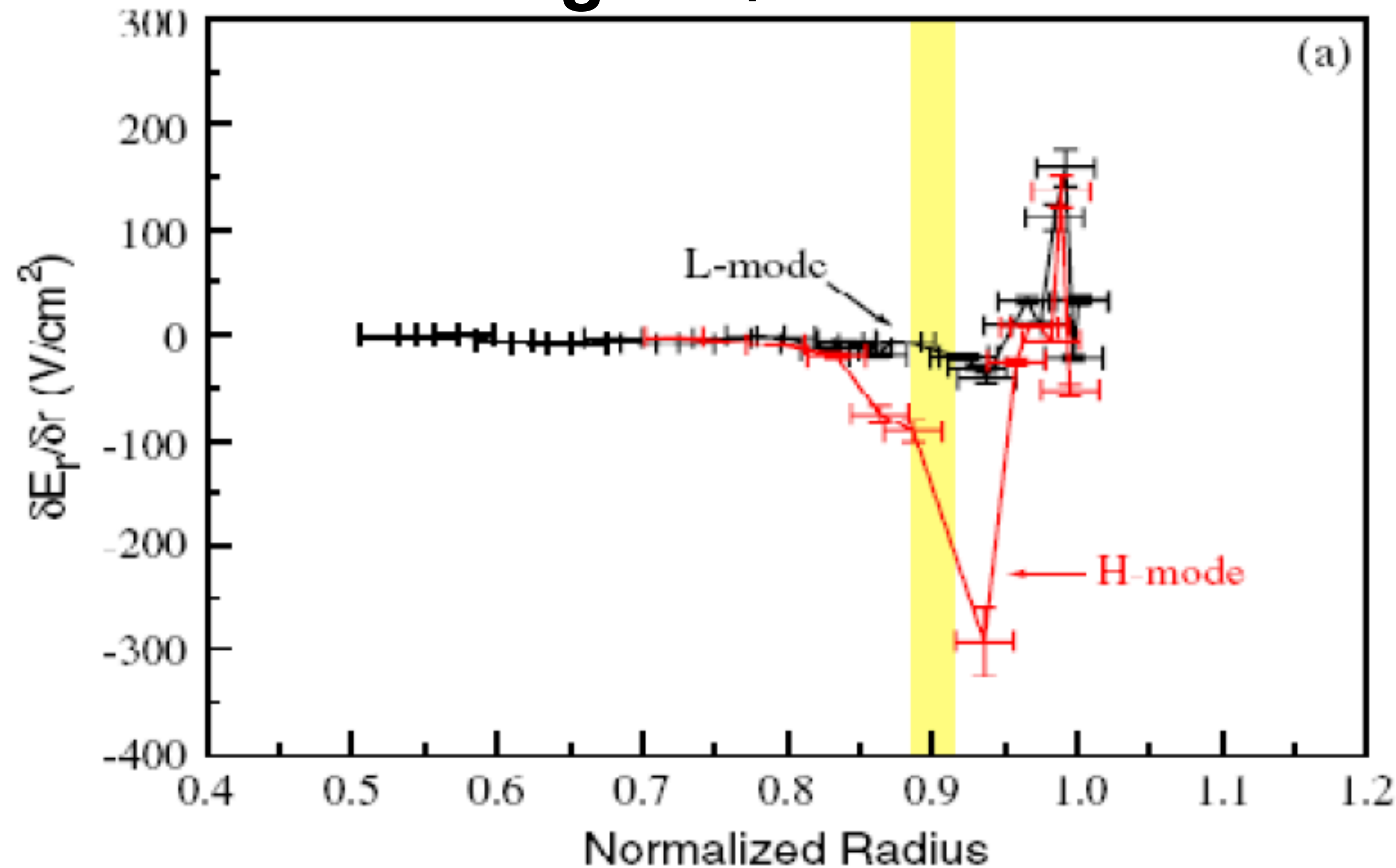


$$P_{\text{Thresh}} = 0.0488 e^{\pm 0.057} n_{e20}^{0.717 \pm 0.035} B_T^{0.803 \pm 0.032} S^{0.941 \pm 0.019}$$

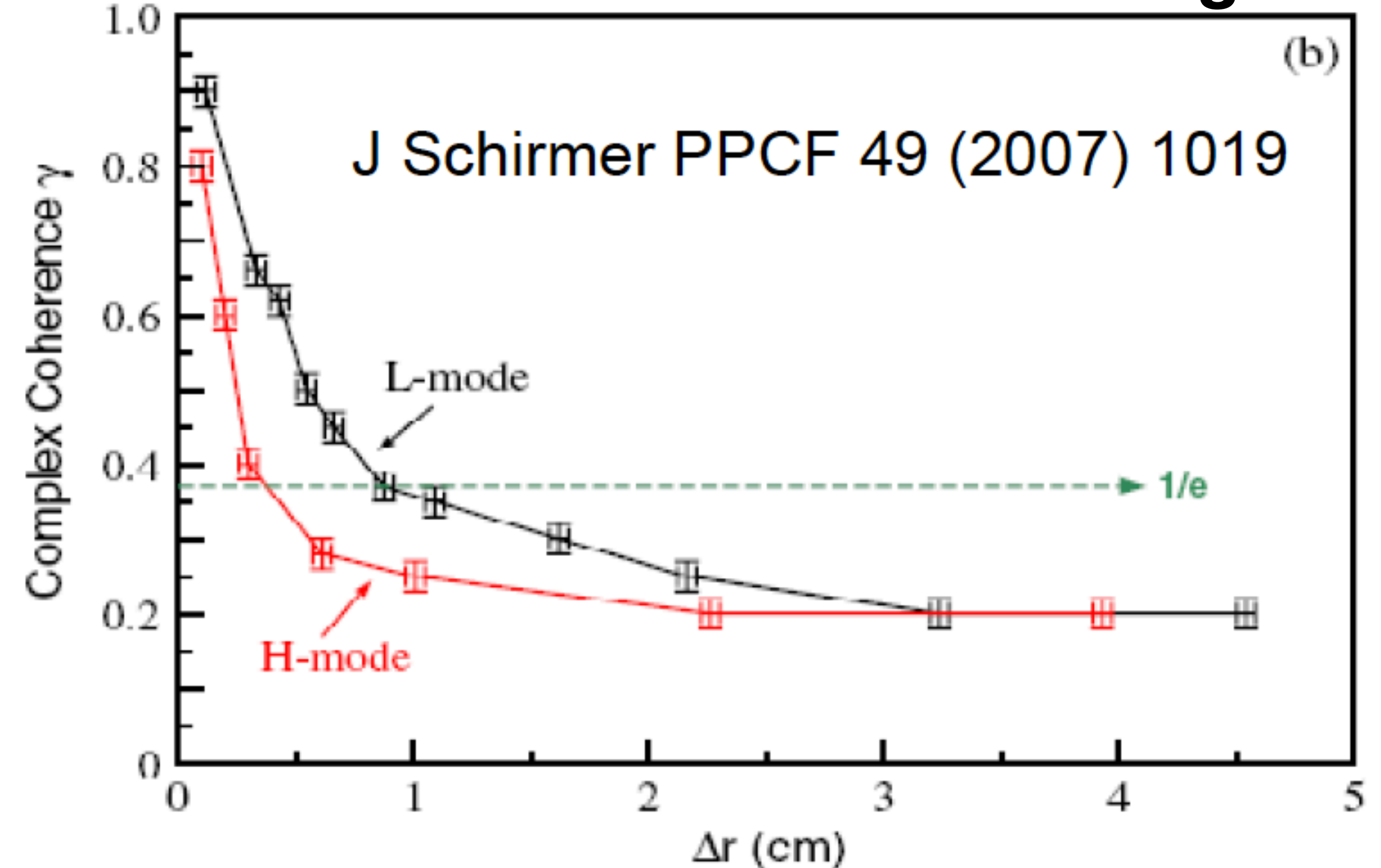
E_r shear and radial correlation lengths

H-mode exhibits:

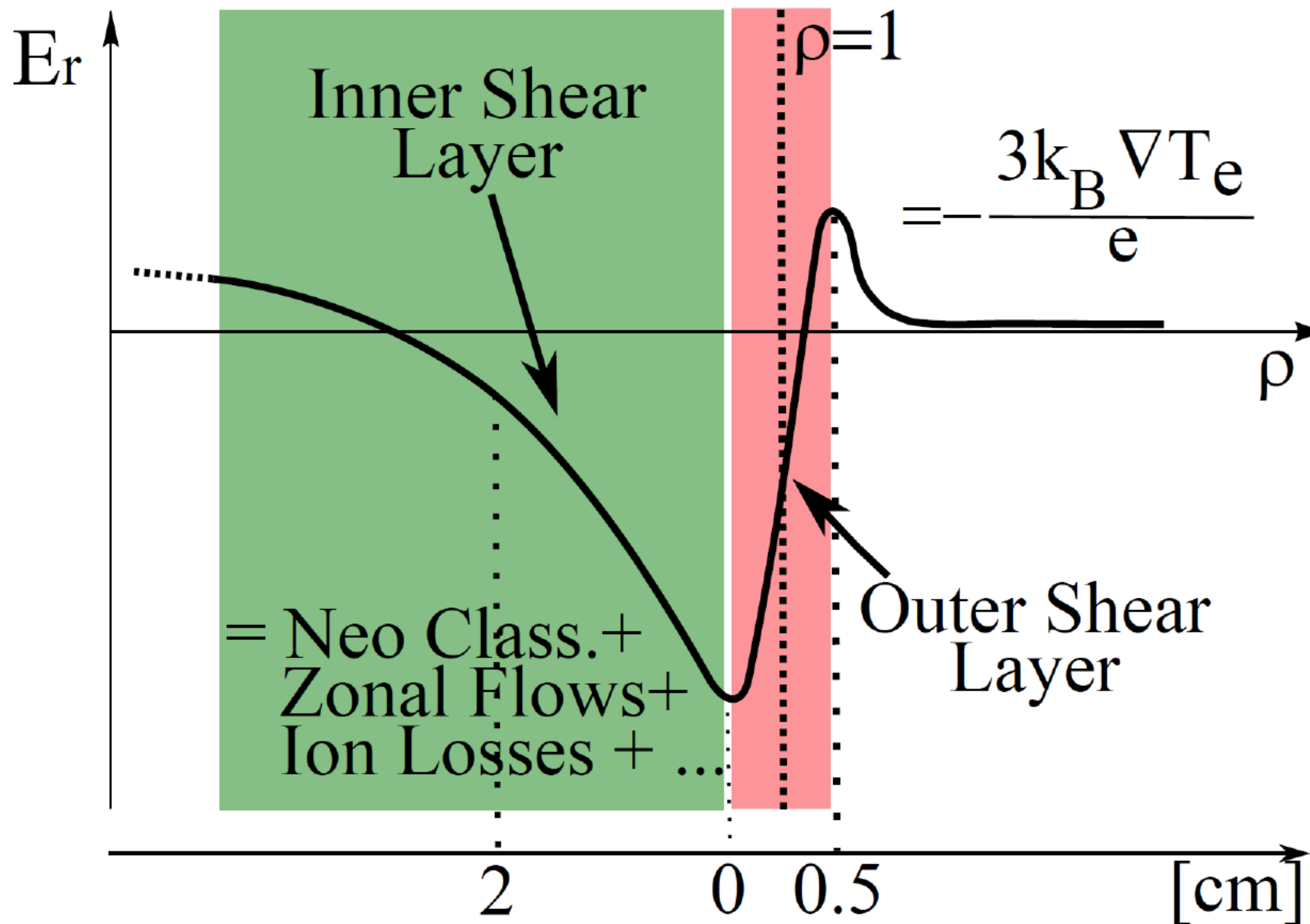
Much larger E_r ' than L-mode



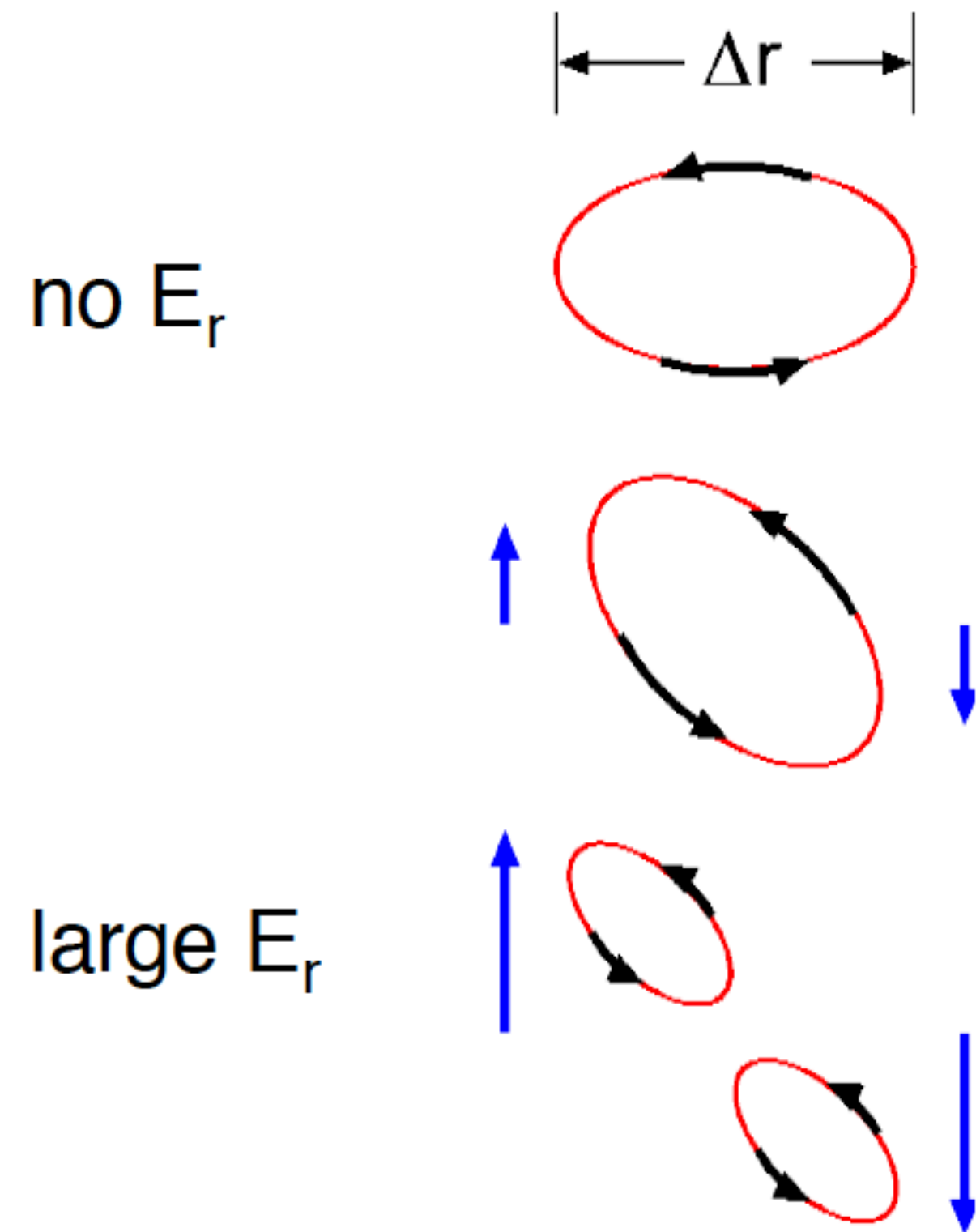
Smaller radial correlation length



Final E_r profile has two shear layers



How do we get to the transport barrier?



Radial electric field produces $\mathbf{E} \times \mathbf{B}$ drift: $\mathbf{V} = \frac{\mathbf{E} \times \mathbf{B}}{B^2}$

Radial force balance:

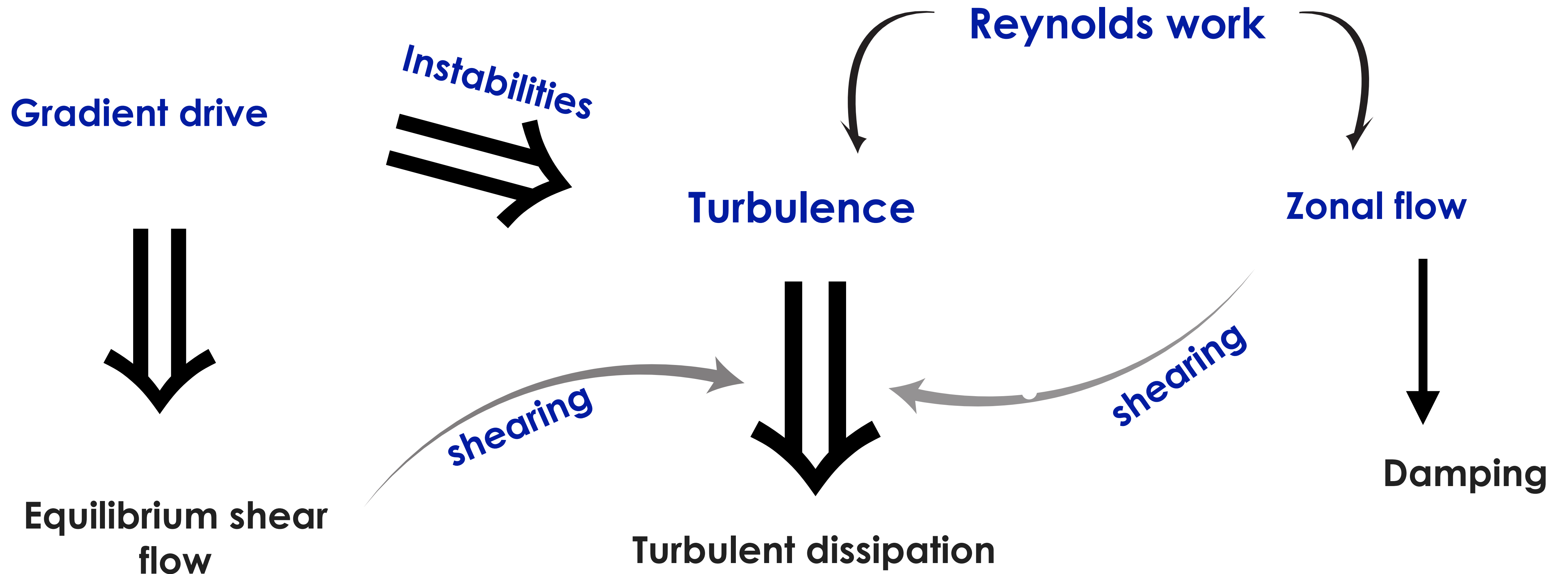
$$E_r = \frac{\nabla p_i}{Z_i e n_i} - v_{\theta i} B_\phi + v_{\phi i} B_\theta$$

Sheared $\mathbf{E}_r \times \mathbf{B}$ velocity decorrelates the turbulent eddies which leads to reduced transport

Hypothesis condition: Shear rate $>$ instability growth rate

$$\gamma_{E \times B} = v'_{E \times B} > \gamma$$

Summary of L-H transition



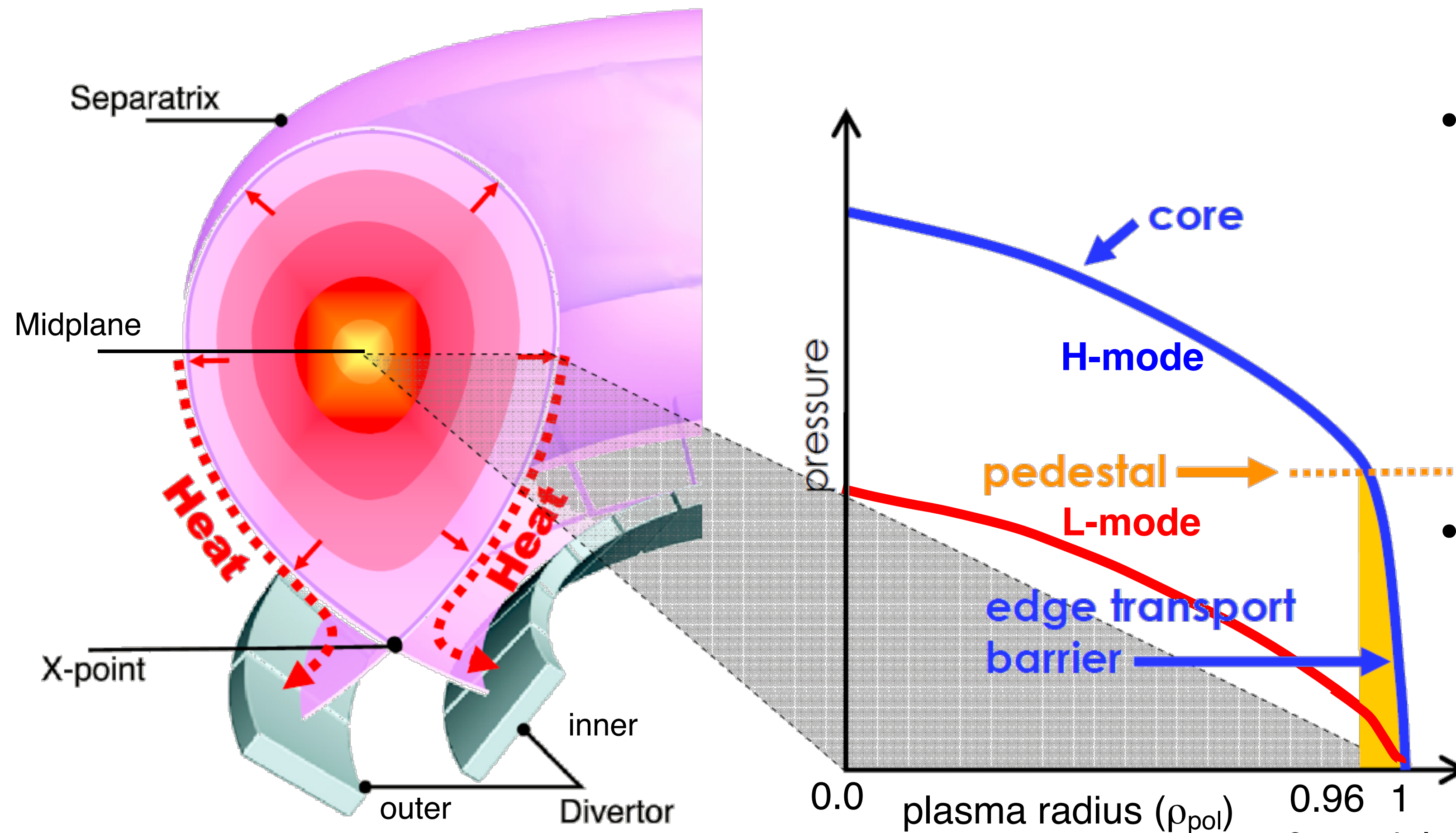
Outline

- L-H transition phenomenology
 - *Turbulence suppression*
 - *Access condition dependencies*
 - *Radial electric field shear*
- **Formation of the Pedestal**
 - ***Brief overview***
 - ***Importance of pedestal***
 - ***Challenge in diagnosing pedestals***
- Edge localized modes
 - *How do we arrive at these ELMs?*
 - *ELM types survey*
- The type I ELM cycle
 - *Stability: Description*
 - *Pedestal evolution during ELM cycle*
 - *What control the pedestal?*
- EPED model a predictive model for the pedestal pressure
 - *Mechanics*
 - *Other dependencies*
- Small ELM regimes as a viable option for ITER
- Summary



The Pedestal

The improvement in confinement is due to a region of steep pressure gradient at the plasma edge:
At the plasma edge, this is referred to as the pedestal region

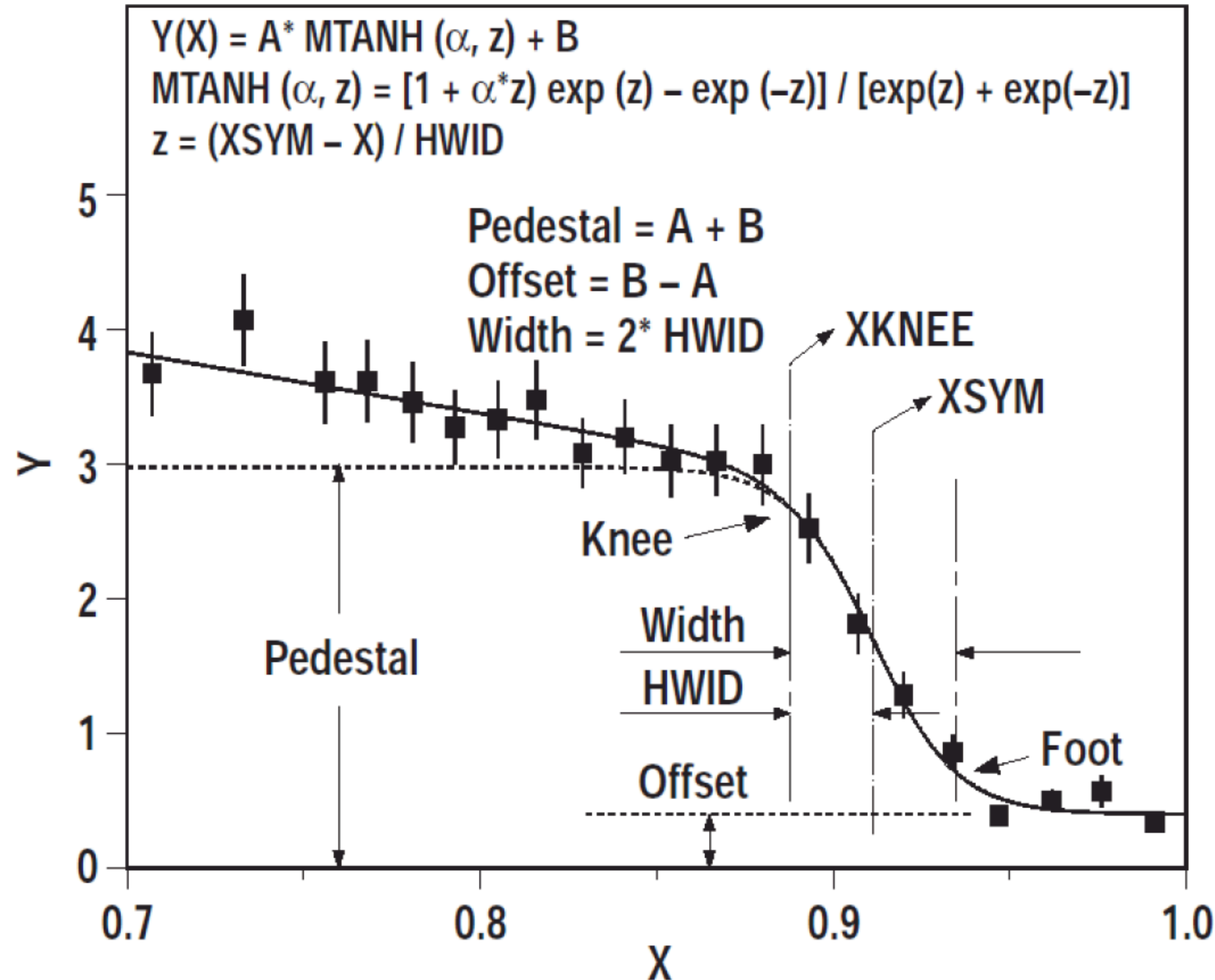


- The pressure in the plasma core is proportional to the pedestal pressure
- Pedestal physics is very important for tokamaks

Connor et al., AIP Conf. Proc. 2008



Basic pedestal structure: the modified tanh profile



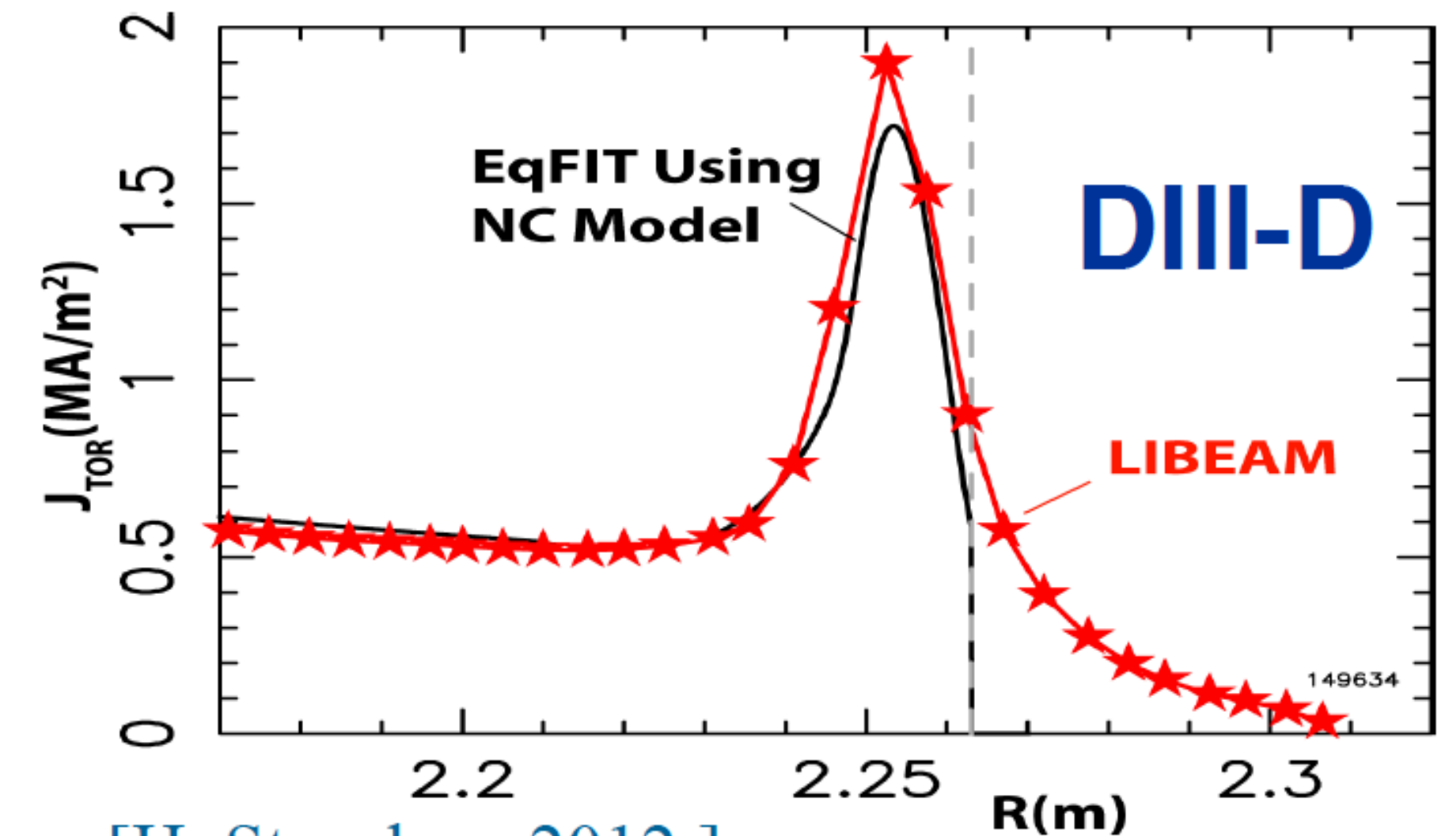
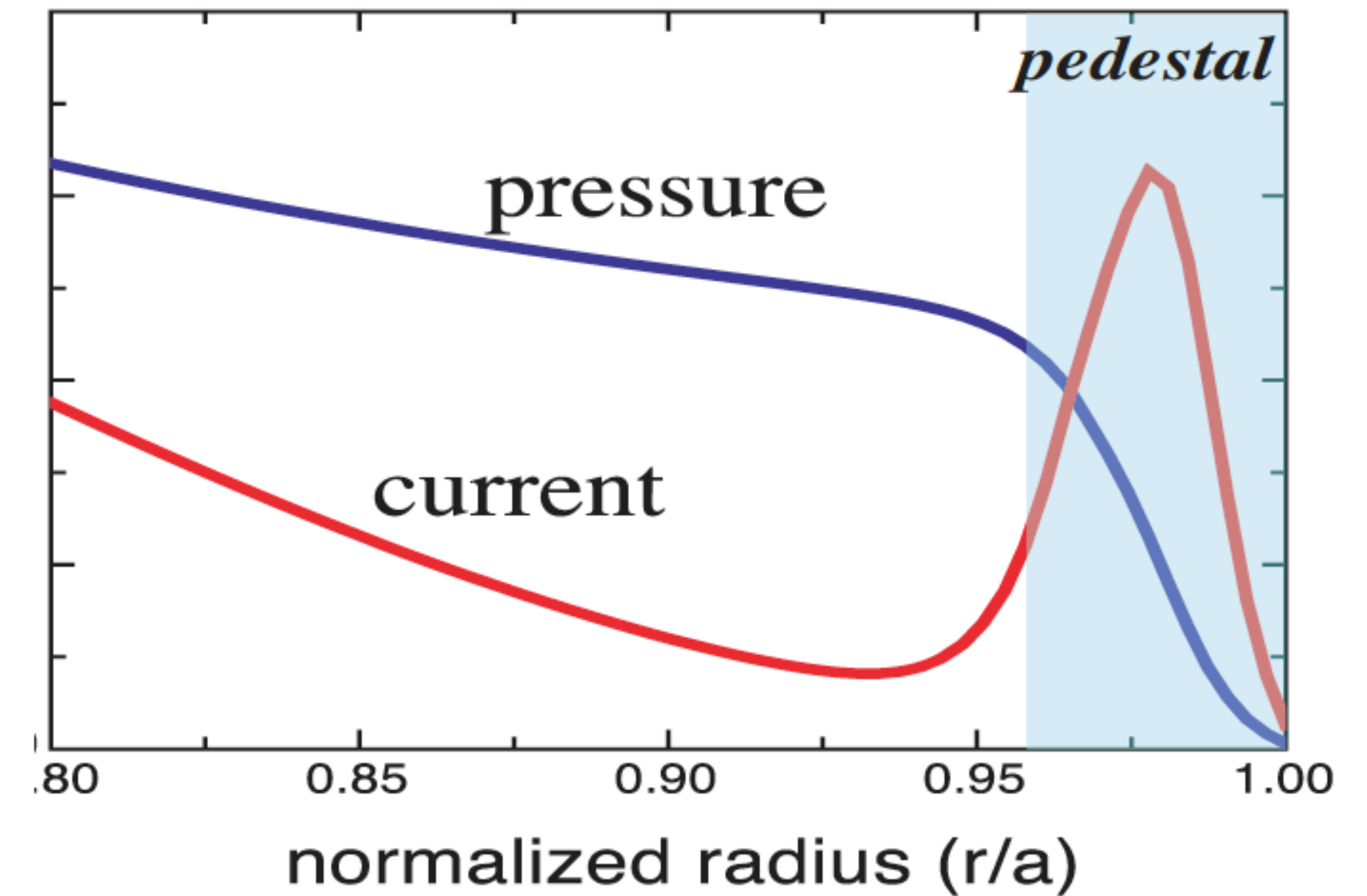
R J Groebner,
NF 41 (2001) 1789



Resulting edge Bootstrap current

- Pressure gradient gives rise to toroidal bootstrap current

$$j_b \propto \frac{dp/dr}{B_\theta \left(1 + 0.9 \sqrt{v_e^*}\right)}$$



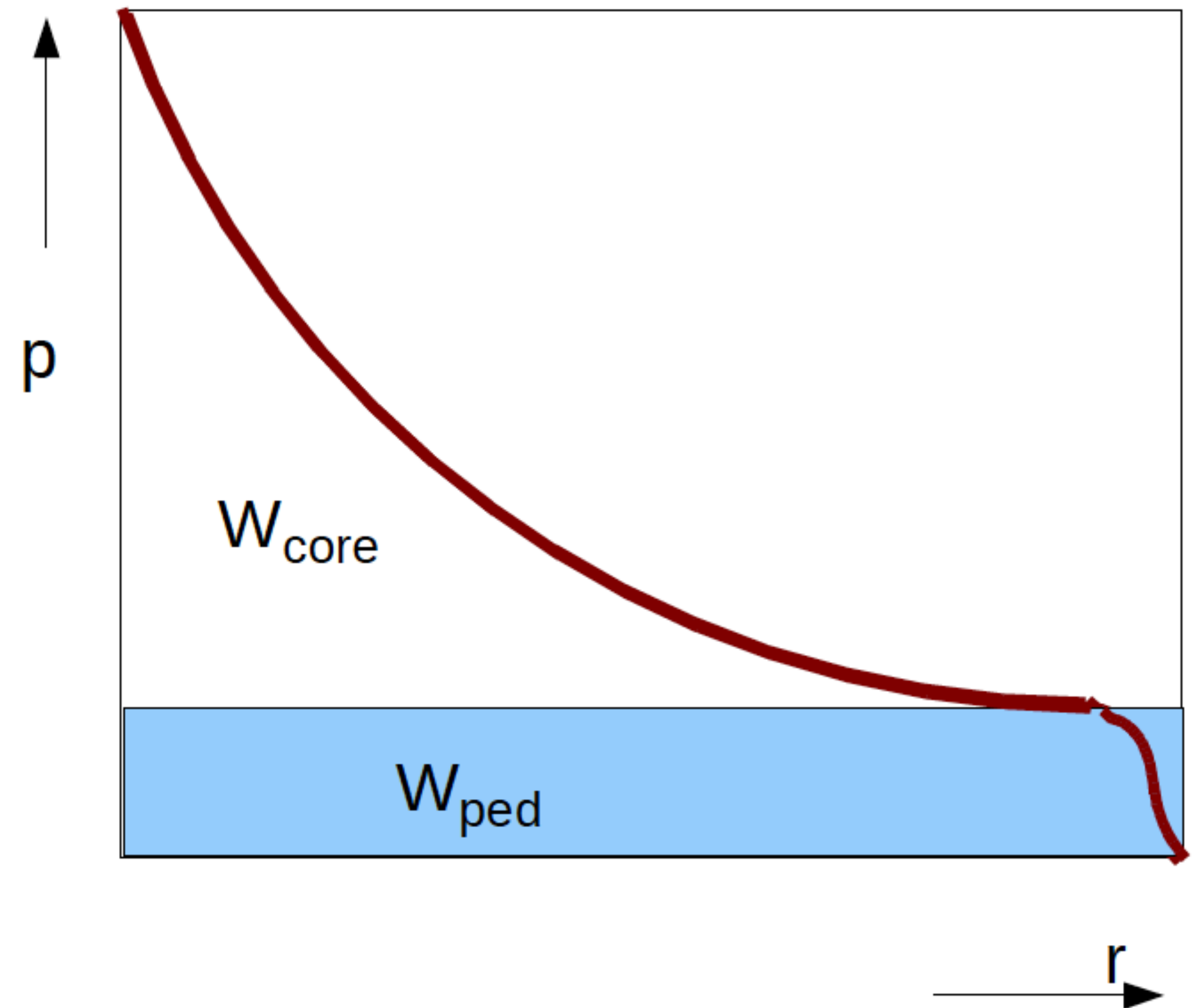
[H. Stoschus, 2012]



The pedestal: so narrow but impactful

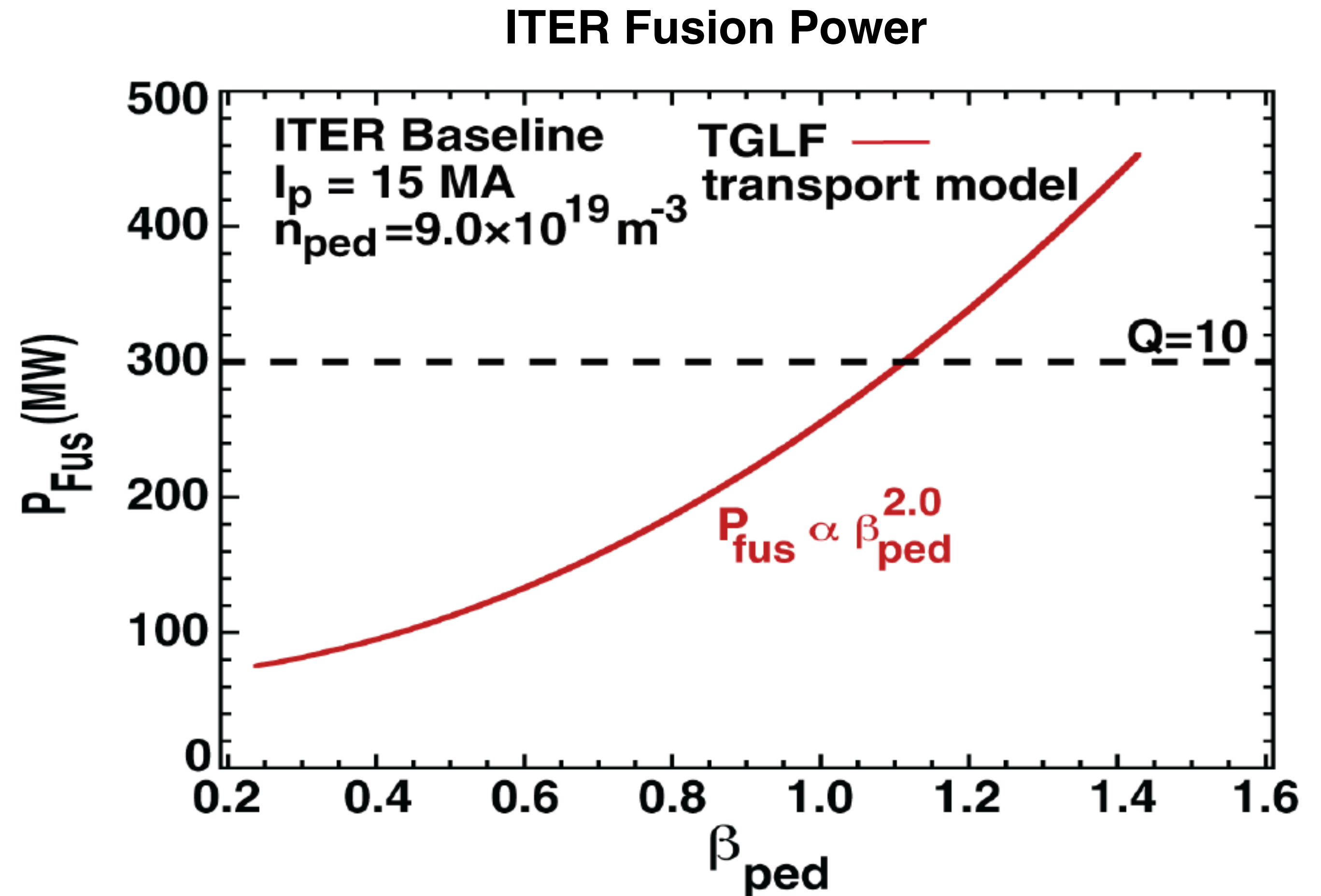
$$W_{\text{tot}} = W_{\text{core}} + W_{\text{ped}}$$

- H-mode pedestal stores additional kinetic energy
- High pressure core rests on the edge pedestal
- Can have $>10x$ increase in T , and $>40x$ increased P across this layer
 - Typically larger relative increase than core
- Overall, it is paramount to understand how the pedestal forms and what sets its width.



Fusion performance rests upon the pedestal

- Future burning plasmas rely on maintaining high pedestal pressure

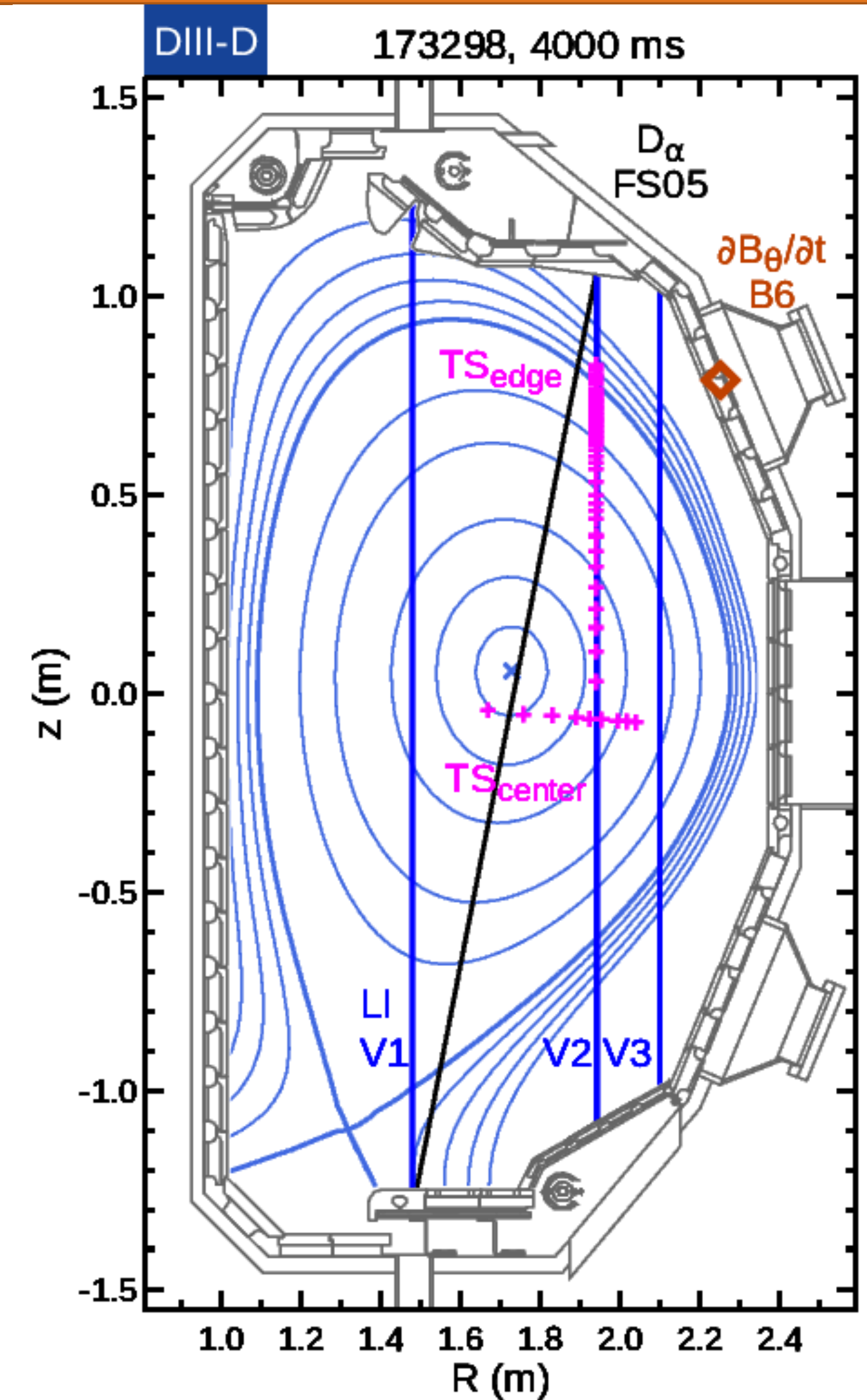
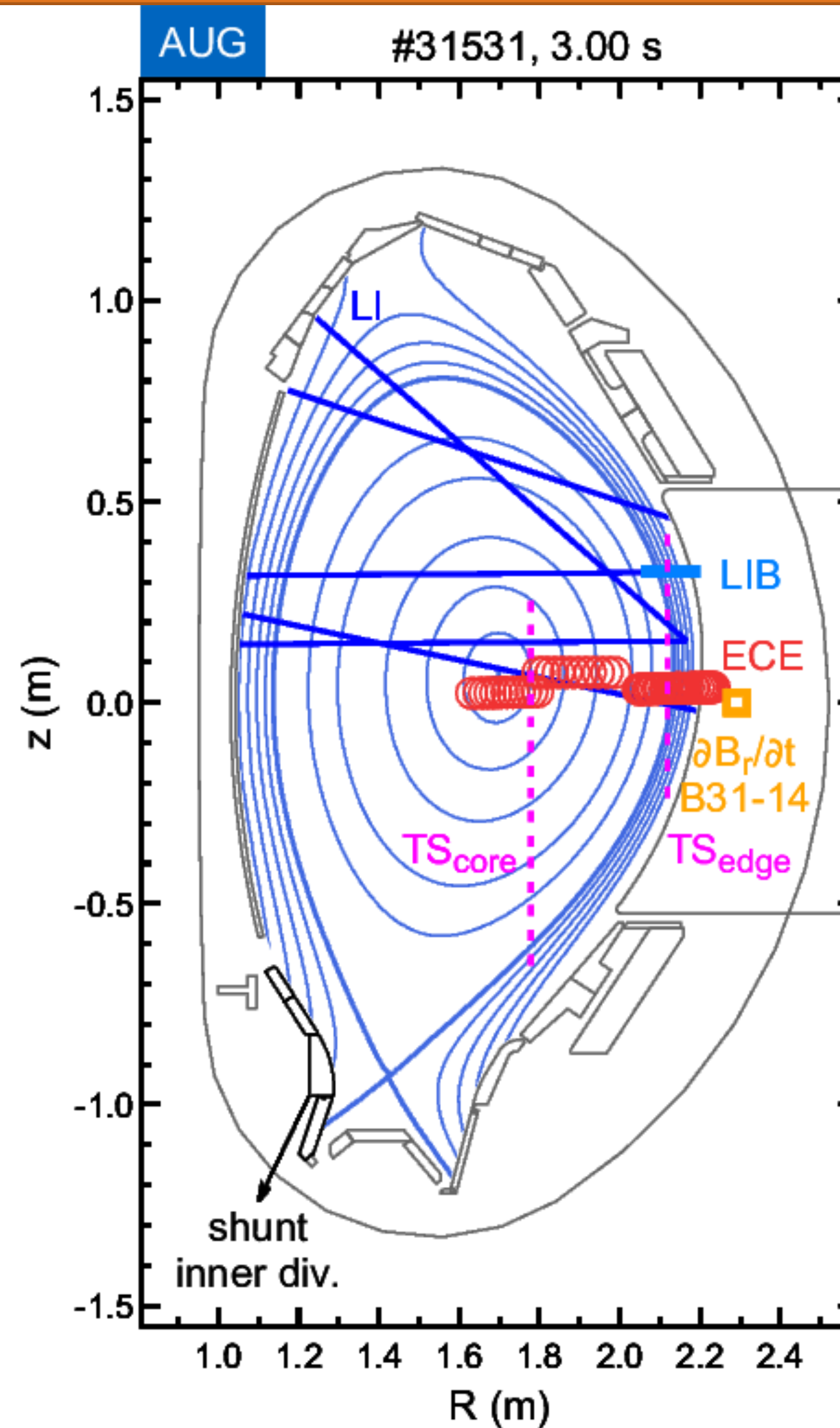


[J. Kinsey, *Nucl. Fusion* **51** 083001 (2011)]



Challenges in diagnosing the pedestal

- Profiles & fluctuations required for good interpretation
- Uncertainties are associated with every measurement
- Awareness necessary when interpreting
- Understand and to consider the fundamental limitations of the utilized diagnostics
- Some examples:
 - ECE: shine through
 - BES: radial widening of emission profile
 - CER: assumption of equilibrium temperature



Outline

- L-H transition phenomenology
 - *Turbulence suppression*
 - *Access condition dependencies*
 - *Radial electric field shear*
- Formation of the Pedestal
 - *Brief overview*
 - *Importance of pedestal*
 - *Challenge in diagnosing pedestals*
- **Edge localized modes**
 - ***How do we arrive at these ELMs?***
 - ***ELM types survey***
- The type I ELM cycle
 - *Stability: Description*
 - *Pedestal evolution during ELM cycle*
 - *What control the pedestal?*
- EPED model a predictive model for the pedestal pressure
 - *Mechanics*
 - *Other dependencies*
- Small ELM regimes as a viable option for ITER
- Summary



Large pressure and current gradients in pedestal drive MHD instabilities

- **Potential Energy with stabilizing and destabilizing terms**

- Negative energy implies MHD instability
- ξ = displacement of plasma fluid, \mathbf{B}_1 = magnetic field perturbation

Compression of the magnetic field,
(Fast, magneto-acoustic waves)

magnetic field line bending
(Alfven waves)

compression
(Slow, magneto-acoustic waves)

$$\delta W = \frac{1}{2} \int dV \left(|B_{1,\perp}|^2 + B_0^2 |\nabla \cdot \xi_{\perp} + 2 \xi_{\perp} \cdot \kappa|^2 + \lambda p_0 |\nabla \cdot \xi|^2 \right) - \int dV \left(2(\xi_{\perp} \cdot \nabla p_0)(\kappa \cdot \xi_{\perp}) + J_{0,\parallel} (\xi_{\perp} \times B_0 / B_0) \cdot B_{1,\perp} \right)$$

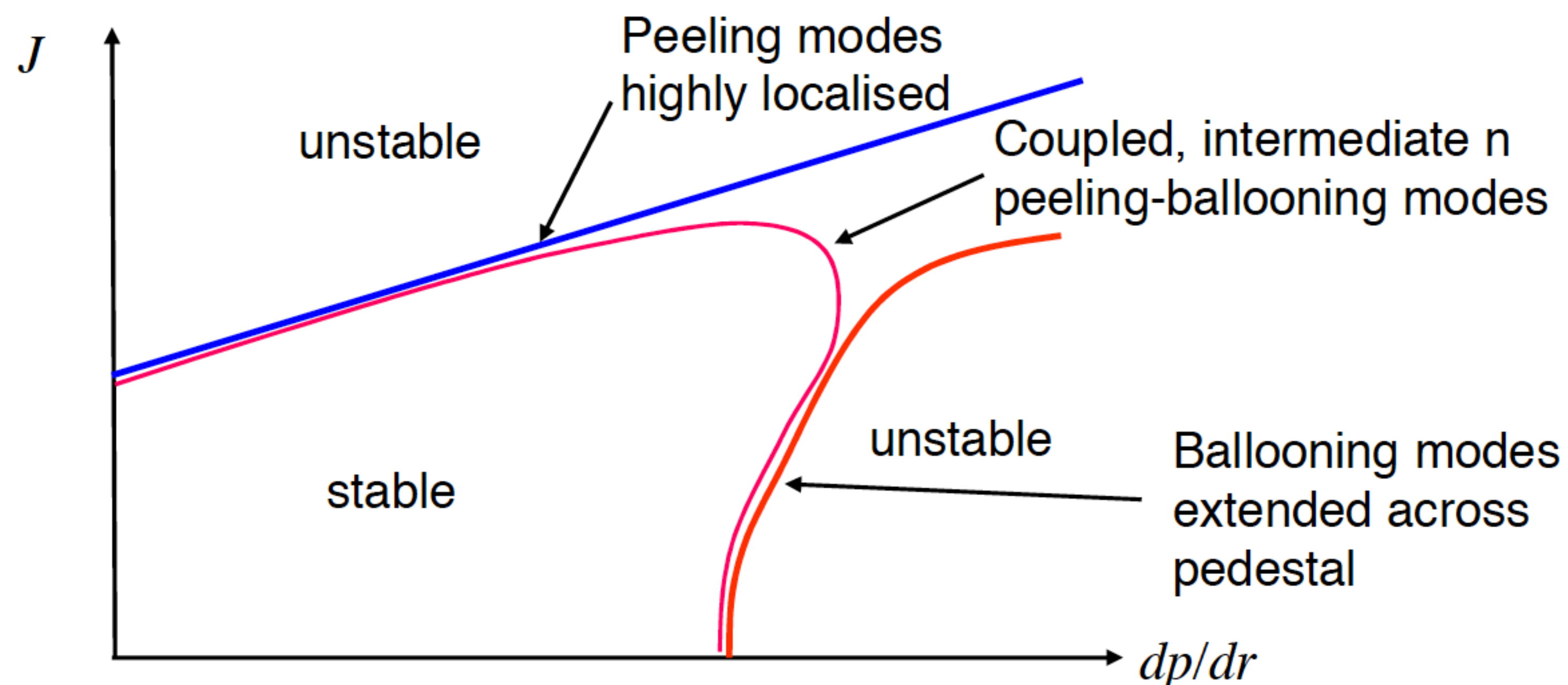
pressure gradient destabilizing
(κ =field curvature) **ballooning drive**

parallel current destabilizing
kink/peeling drive



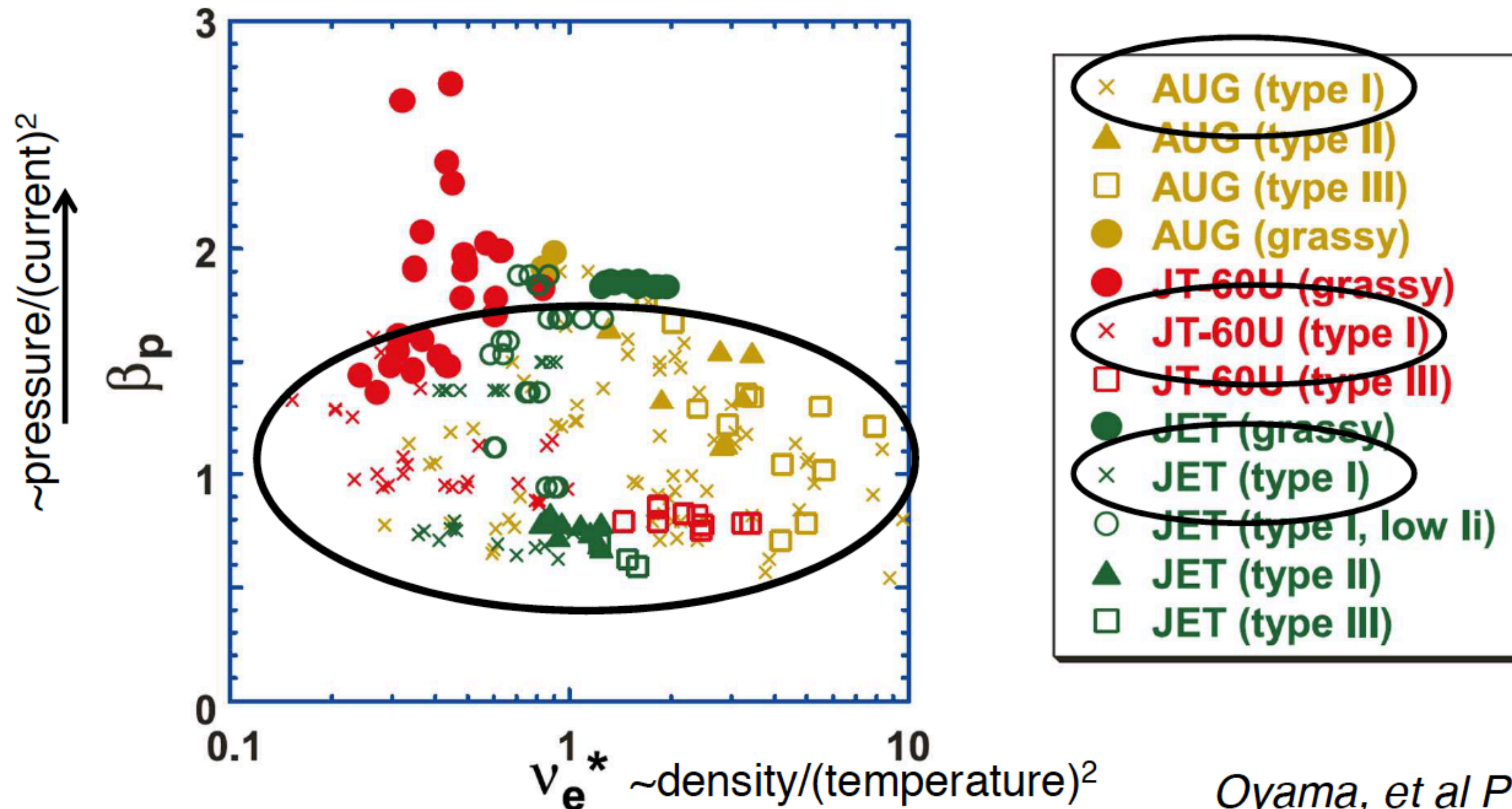
Edge-Localized-Modes: the Peeling ballooning model

- Large “Type I” ELMs are thought to be triggered by coupled peeling-ballooning modes:
 - Ballooning mode is destabilized by pressure gradient, but stabilized by current density
 - Peeling mode is destabilized by current density, but stabilized by pressure gradient
 - The modes can couple, leading to a somewhat complicated stability boundary



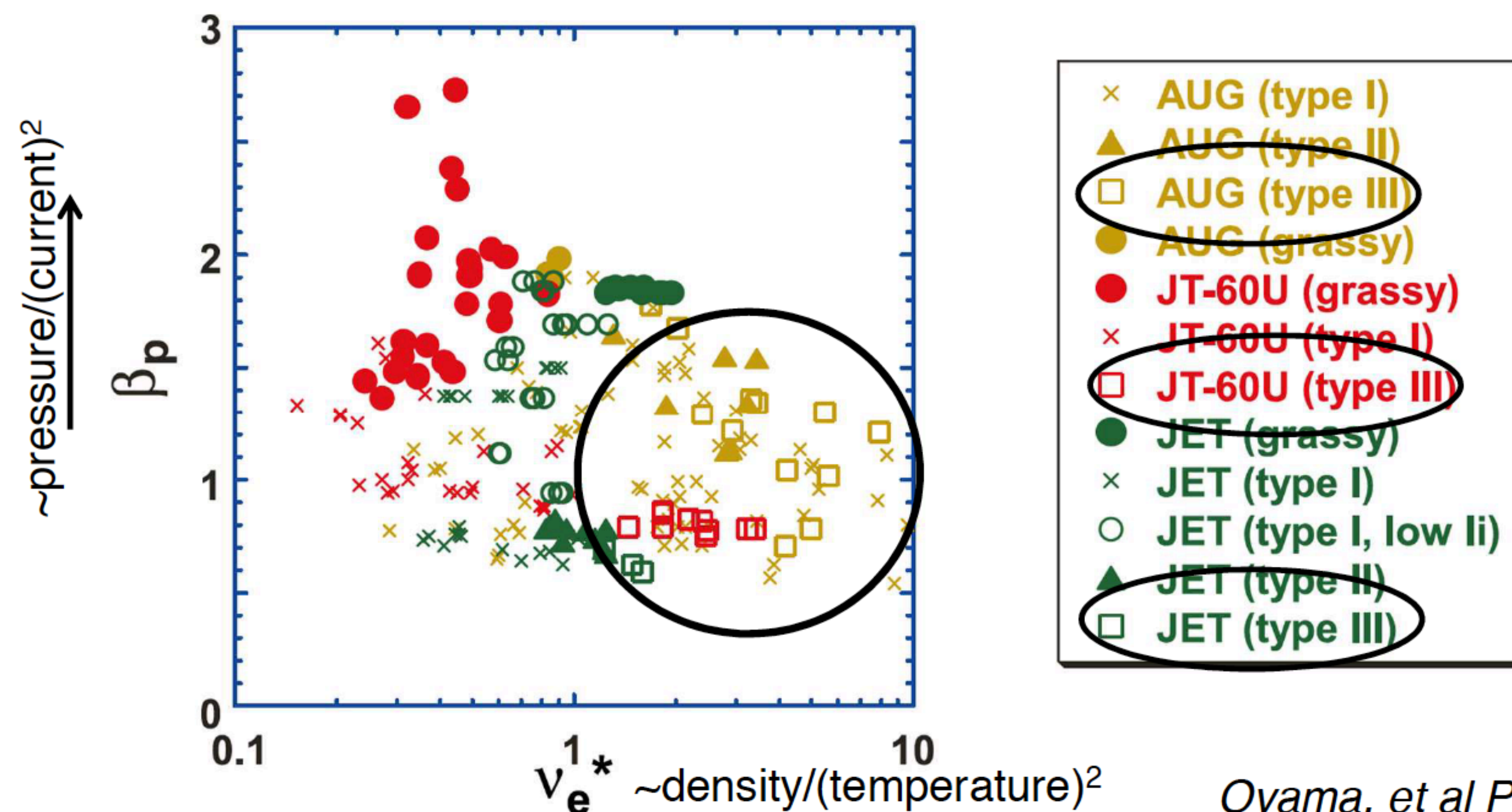
Range of Edge-localized modes: Type I ELMs

- **Type I ELMs** are the most prevalent, over a wide range of parameters
 - Good confinement, but large ELMs
 - Cannot be tolerated on ITER
 - Well-explained by the peeling-ballooning modes



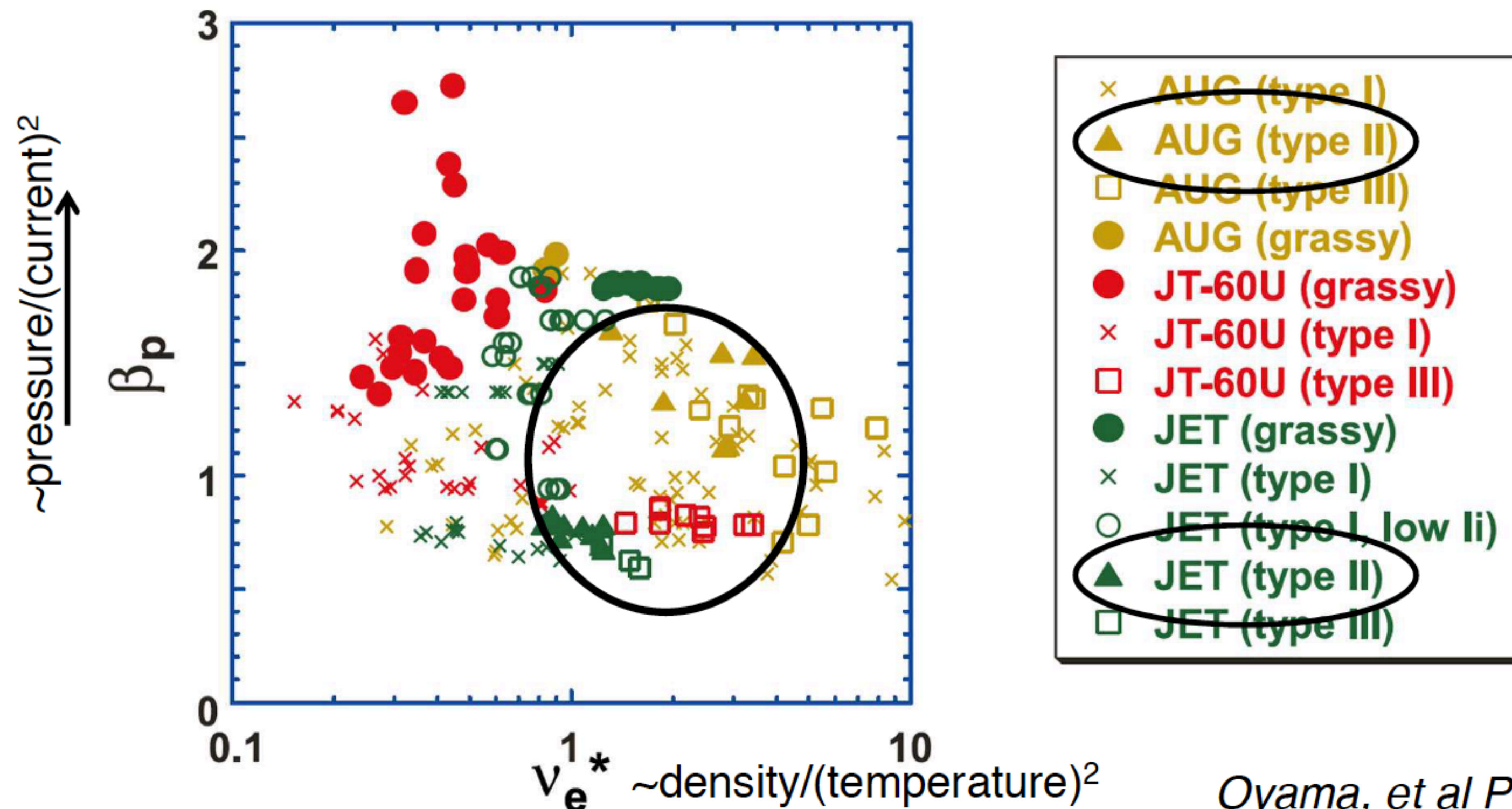
Type III ELMs: More benign, but degrade confinement

- **Type III ELMs** typically occur close to the L-H transition
 - Small ELMs, but reduced confinement
 - Confinement degradation not desirable for ITER
 - A high collisionality and low collisionality branch



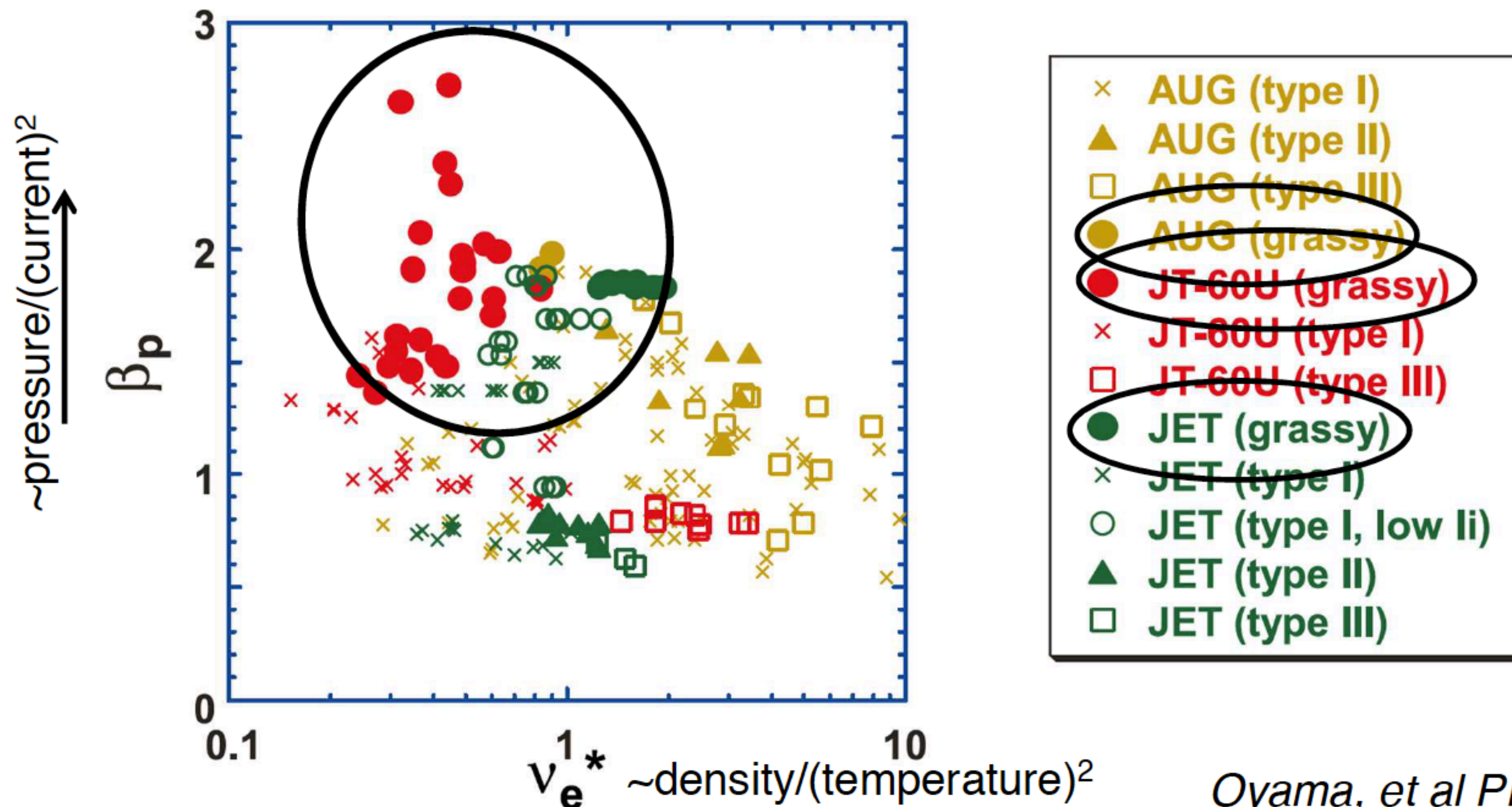
Type II ELMs: Small ELMs with good confinement

- **Type II ELMs** occur at high collisionality in plasmas with **strong shaping**
 - Small ELMs, and good confinement
 - They can co-exist with Type I ELMs
 - Is high collisionality inconsistent with ITER?



Grassy ELMs: Small ELMs with good confinement

- **Grassy ELMs** occur at low collisionality, strong shaping
 - High β_p and q_{95} required (low current?)
 - Small ELMs, and good confinement
 - Could be a viable regime for ITER (if accessible to ITER)



Summary

ELM Type	Access criteria	Confinement	Size	ITER implication
Type I	High power; wide parameter regime	Good	Large	Excessive erosion
Type II	Strong shaping; high collisionality; high q_{95}	Good	Small	Collisionality too high for ITER?
Type III	Lower power; high and low collisionality branches	Poor	Small	Confinement unacceptable; inaccessible at high power?
Grassy	Strong shaping; high β_p ; low collisionality	Good	Small	Possible tolerable ELM regime



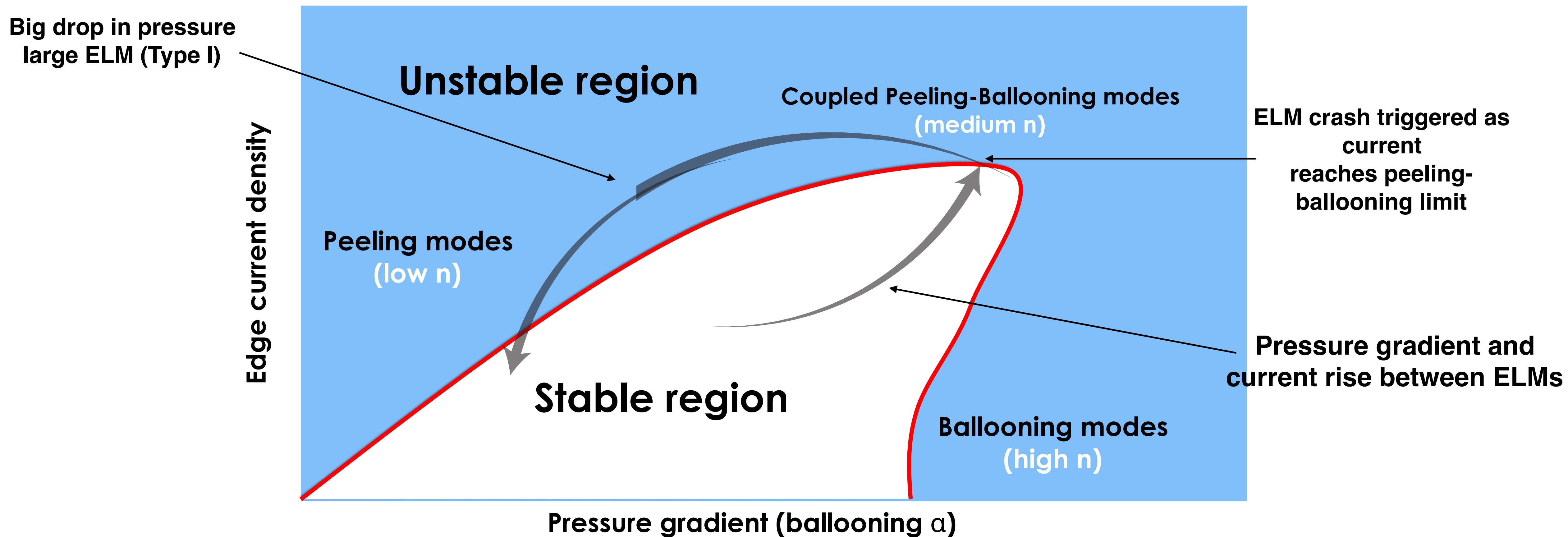
Outline

- L-H transition phenomenology
 - *Turbulence suppression*
 - *Access condition dependencies*
 - *Radial electric field shear*
- Formation of the Pedestal
 - *Brief overview*
 - *Importance of pedestal*
 - *Challenge in diagnosing pedestals*
- Edge localized modes
 - *How do we arrive at these ELMs?*
 - *ELM types survey*
- **The type I ELM cycle**
 - ***Stability: Description***
 - ***Pedestal evolution during ELM cycle***
 - ***What control the pedestal?***
- EPED model a predictive model for the pedestal pressure
 - *Mechanics*
 - *Other dependencies*
- Small ELM regimes as a viable option for ITER
- Summary



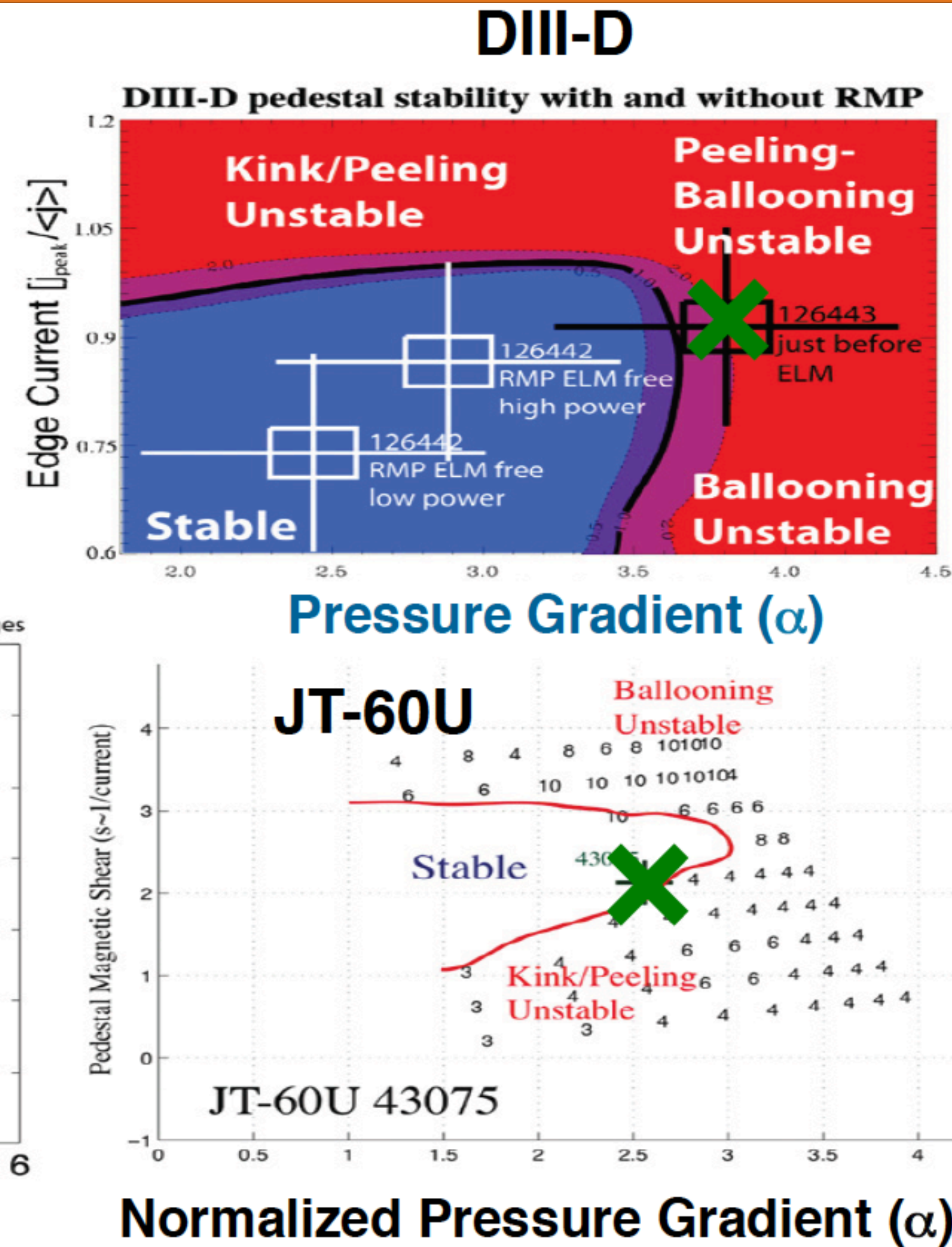
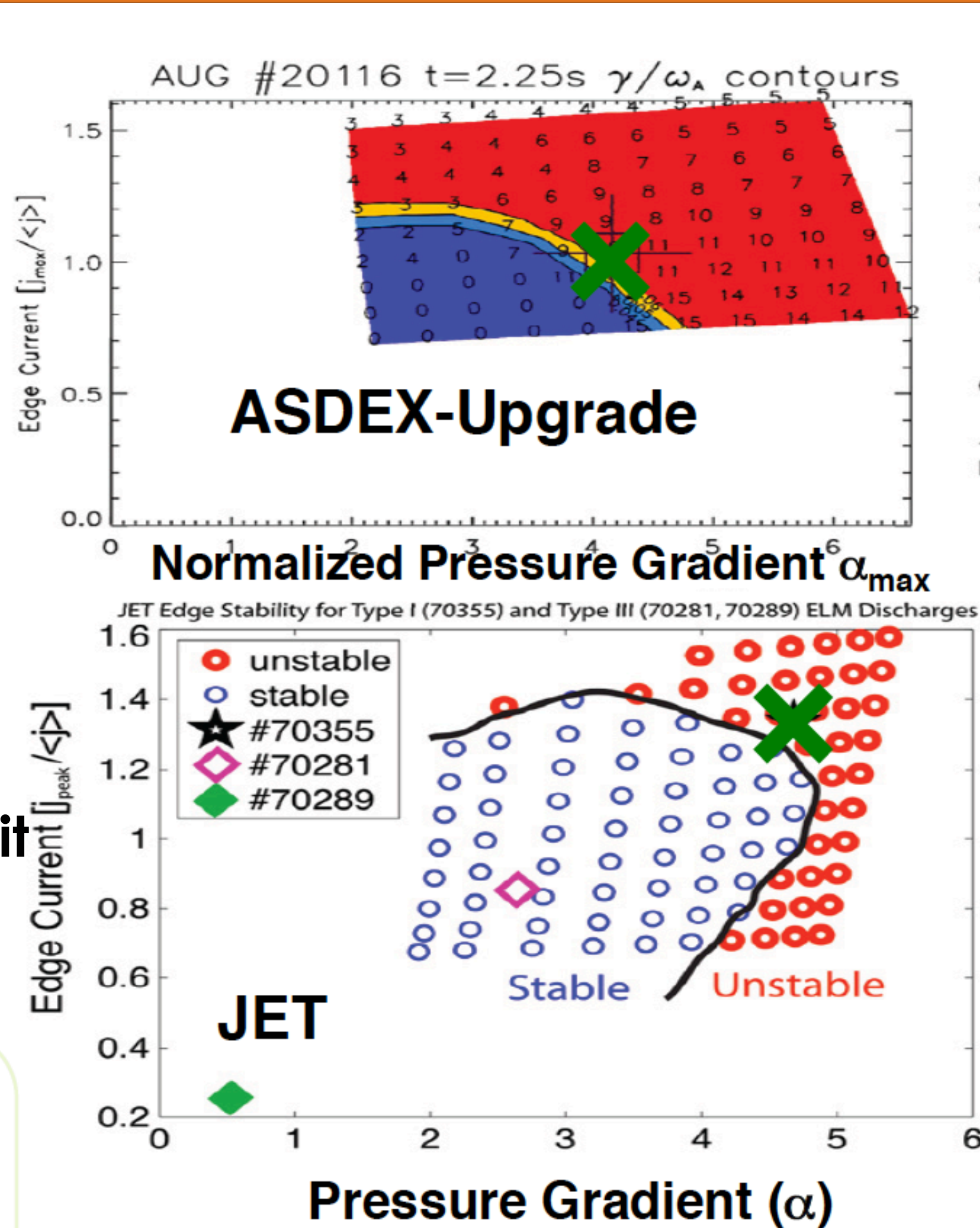
Let's revert to the type I ELM cycle

- The coupled peeling-ballooning mode stability diagram provides a model for Type I ELM cycle



Experiments on all tokamaks consistent with peeling-ballooning trigger

- Validated on all major international tokamaks
- ELM crash within 20% of calculated pedestal stability limit

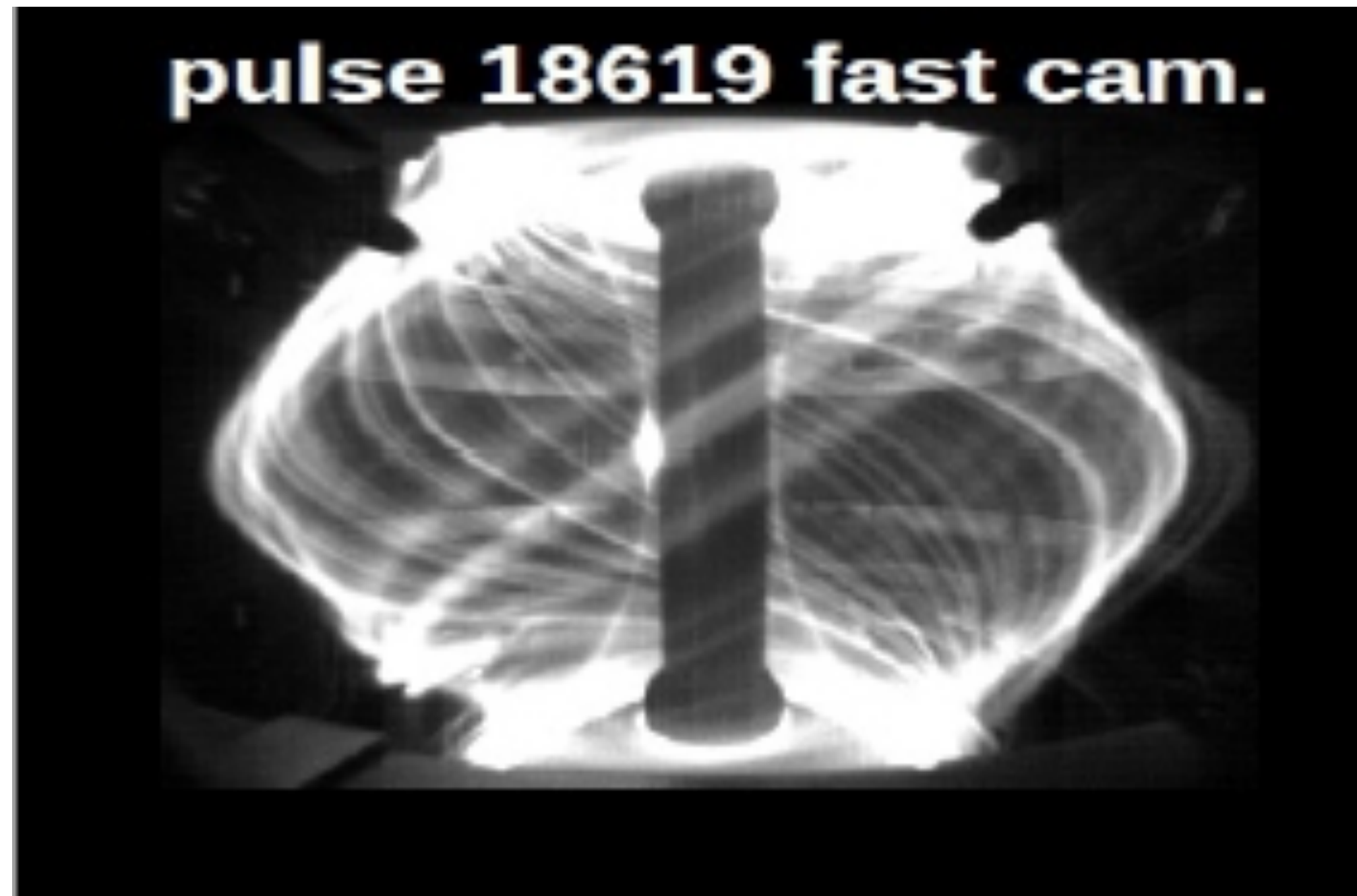


Observed ELM Spatial Structure Similar to Calculated Peeling-Ballooning Modes

- **Complicated structure but mode number similar to that calculated from linear stability**

MAST

Visible Image



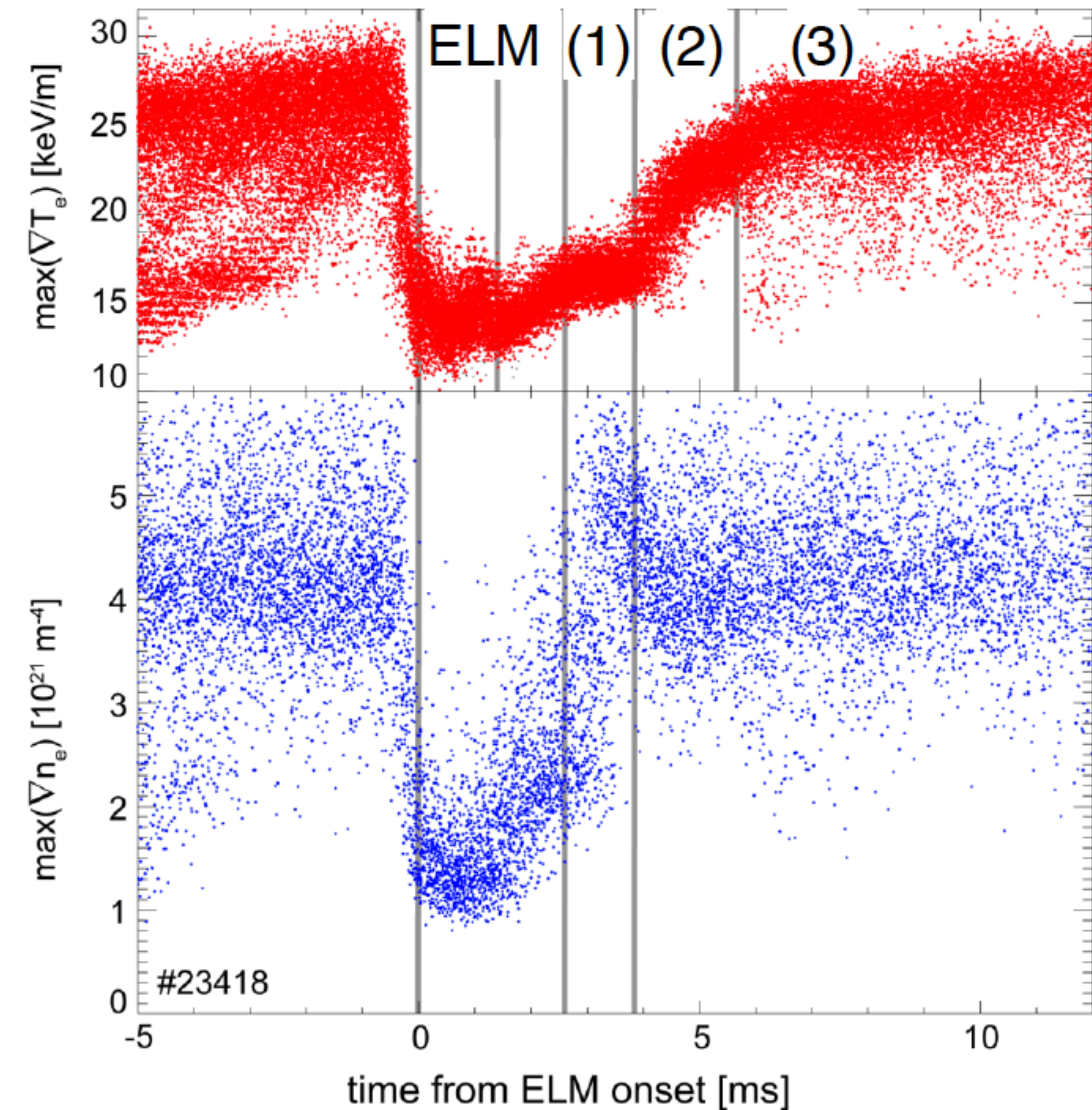
Calculated Mode (JOREK)



Simulating a full ELM cycle with multi-scale physics is a grand computational challenge.

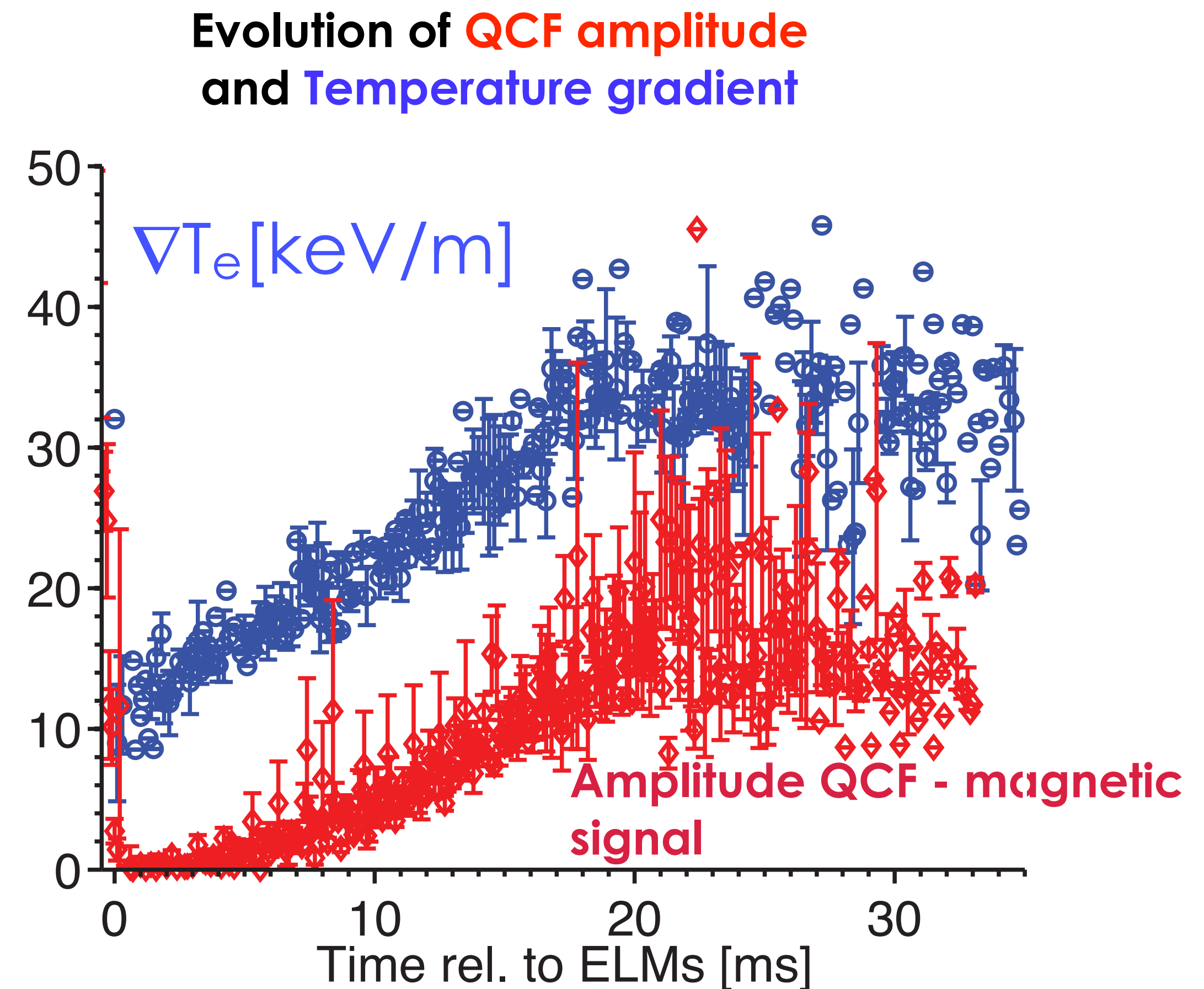
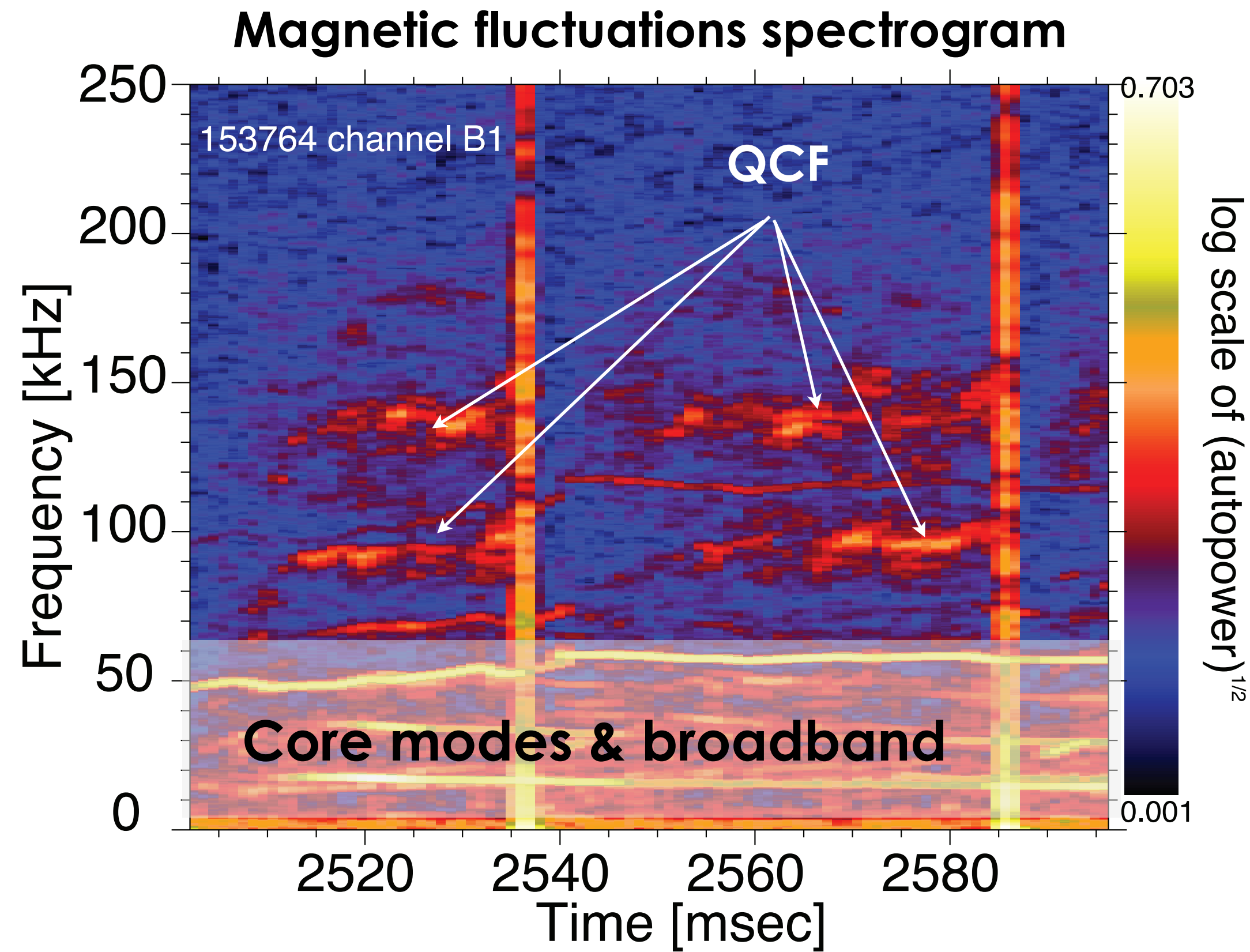
Pedestal gradient recoveries during the ELM cycle

- **ELM cycle studies reveal different recovery timescales of T_e and n_e**
- **∇T_e recovery show three phases**
 - (1) **∇T_e recovery is delayed and ∇n_e snaps back quickly**
 - (2) **∇T_e continues to recover while ∇n_e is saturated**
 - (3) **∇T_e slowly evolves to saturation**



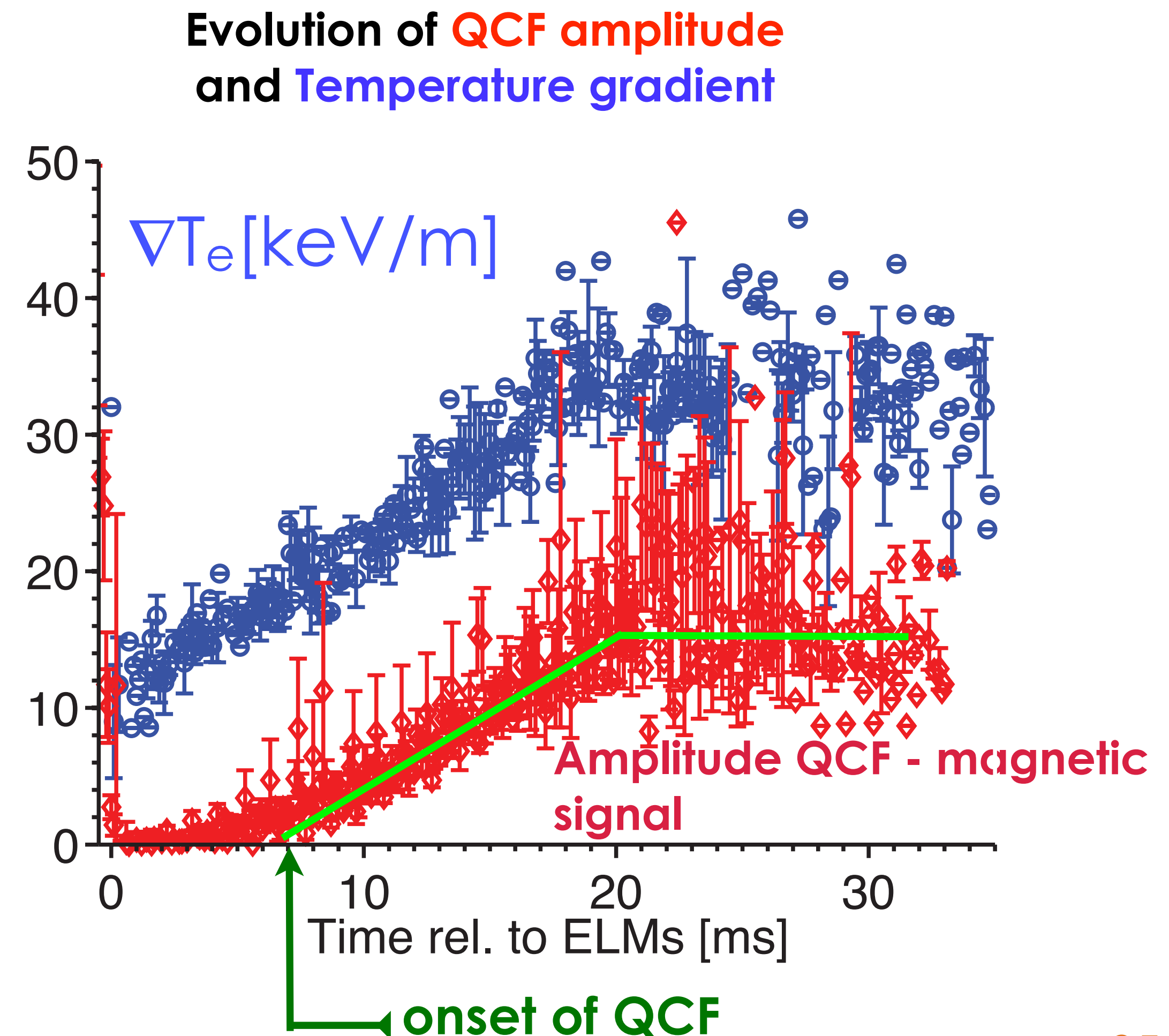
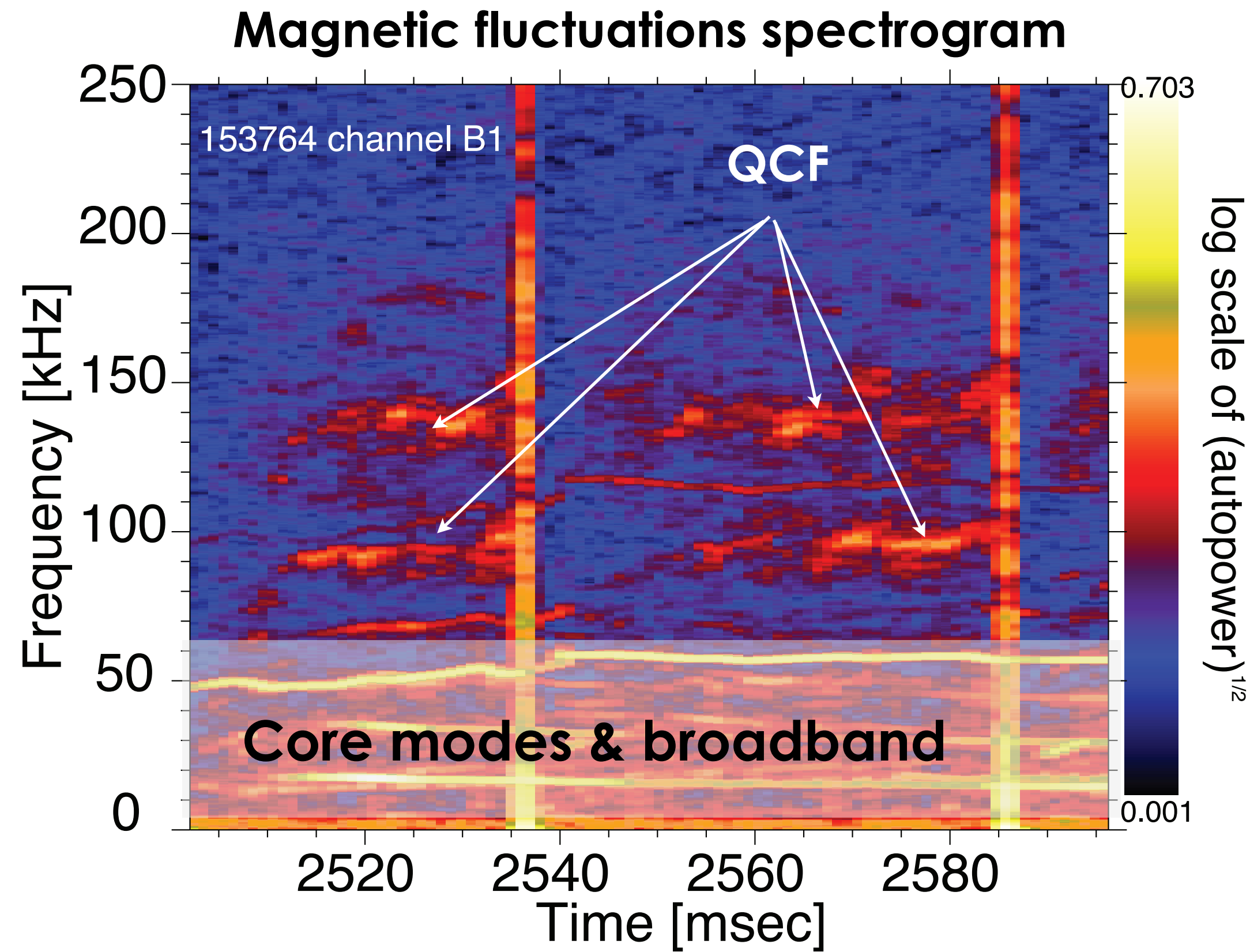
Measurements on DIII-D reveal the existence of pedestal localized mode during the ELM cycle: Quasi-Coherent Frequency (QCF)

- **QCF Onsets at Given Temperature Gradient and its Evolution Tracks the Gradient Evolution**



Measurements on DIII-D reveal the existence of pedestal localized mode during the ELM cycle: Quasi-Coherent Frequency (QCF)

- **QCF Onsets at Given Temperature Gradient and its Evolution Tracks the Gradient Evolution**



Summary of possible inter-ELM transport mechanisms

- Transport processes in the pedestal can be explored by considering the pedestal evolution between ELMs

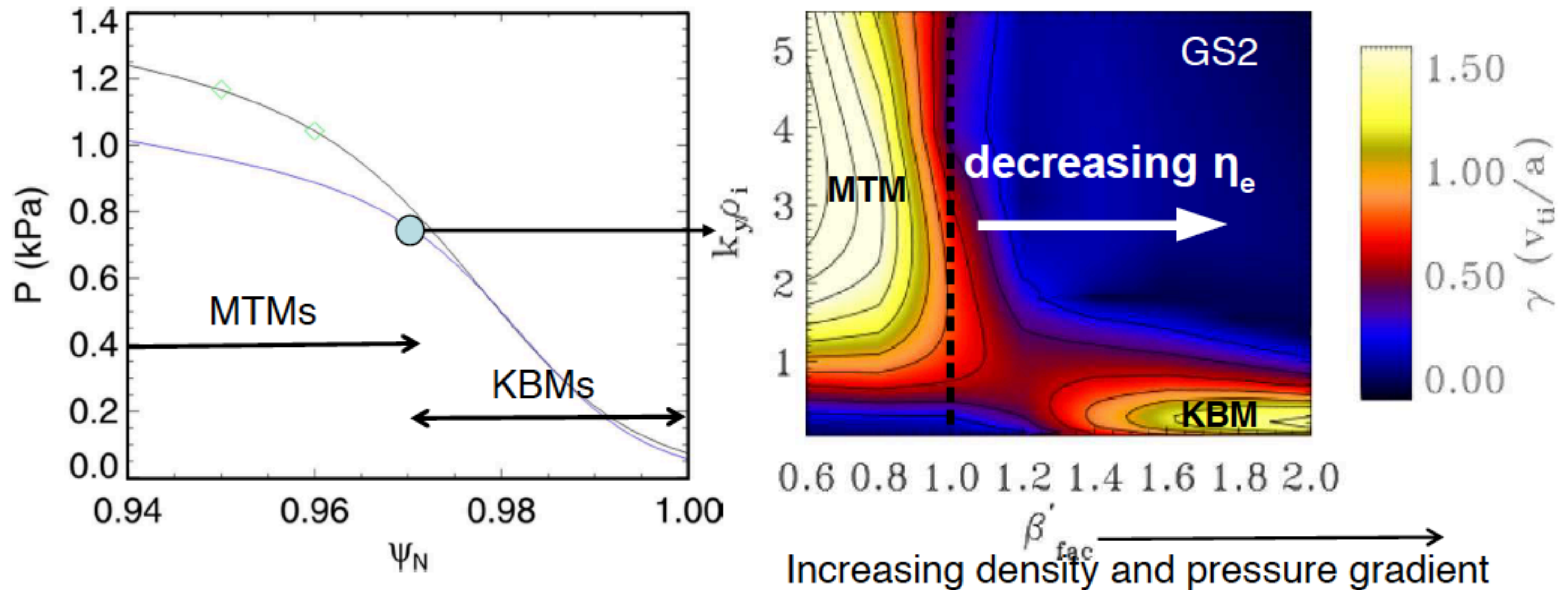
P. Manz, PPCF 2014

Instability	Drive	Prop.	Scale	$\alpha_{\phi, \bar{p}}$	$\alpha_{\phi, \tilde{T}_e}$	$\omega(L_{\perp}/c_s)$	Parity
IPM	J_{\parallel}	n.p.	$k_{\theta} \rho_s \ll 0.1$				Global
(I–R)BM	∇p	n.p.	$k_{\theta} \rho_s < 0.1$	$\pi/2$			Ball.
KBM	$\nabla T_{e,i}$	i dia.	$k_{\theta} \rho_s \sim 0.1$	$\pi/2$			Ball.
KPBM	$\nabla p_{e,i}$	e dia.	$k_{\theta} \rho_s \ll 0.1$				Ball.
MTM	∇T_e	e dia.	$k_{\theta} \rho_s \sim 0.1$	0		0.1–1	Tear.
ITG	∇T_i	i dia.	$0.1 < k_{\theta} \rho_s \leq 1$	$\pi/2$	π	0.1–1	Ball.
TEM	$\nabla T_e, \nabla n$	e dia.	$0.1 < k_{\theta} \rho_s$	0	$\pi/2$		Ball.
ETG	∇T_e	e dia.	$k_{\theta} \rho_s > 1$	$\pi/2$	$0 - \pi/2$	0.5–100	Ball.



Micro-instabilities in the pedestal appears to set its structure

- Gyrokinetic simulations of the MAST pedestal show:
 - At low density gradient, the micro-tearing mode is unstable
 - At higher density gradient this mode is stabilized, but the kinetic ballooning mode is instead destabilized as the pressure gradient rises

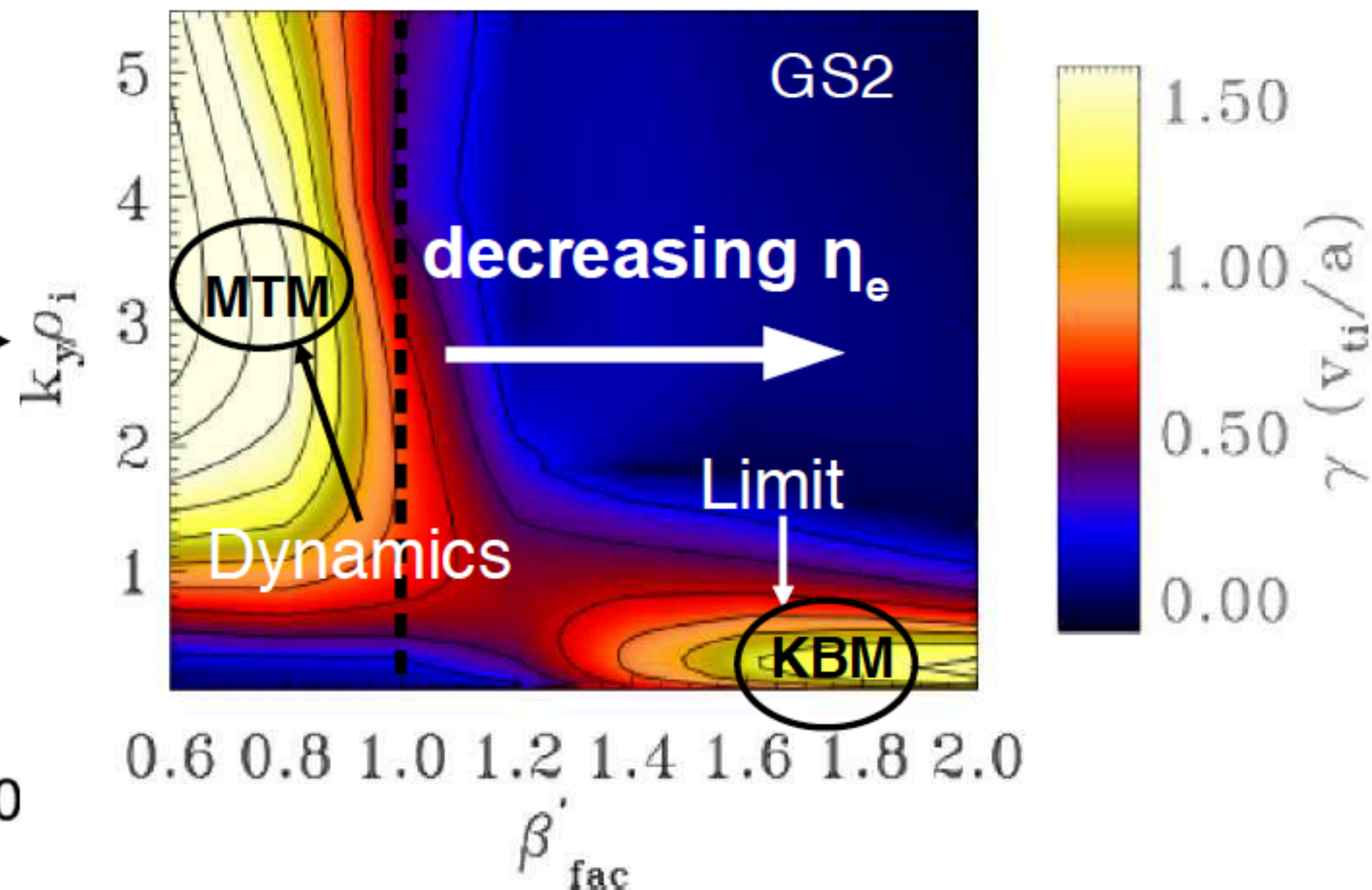
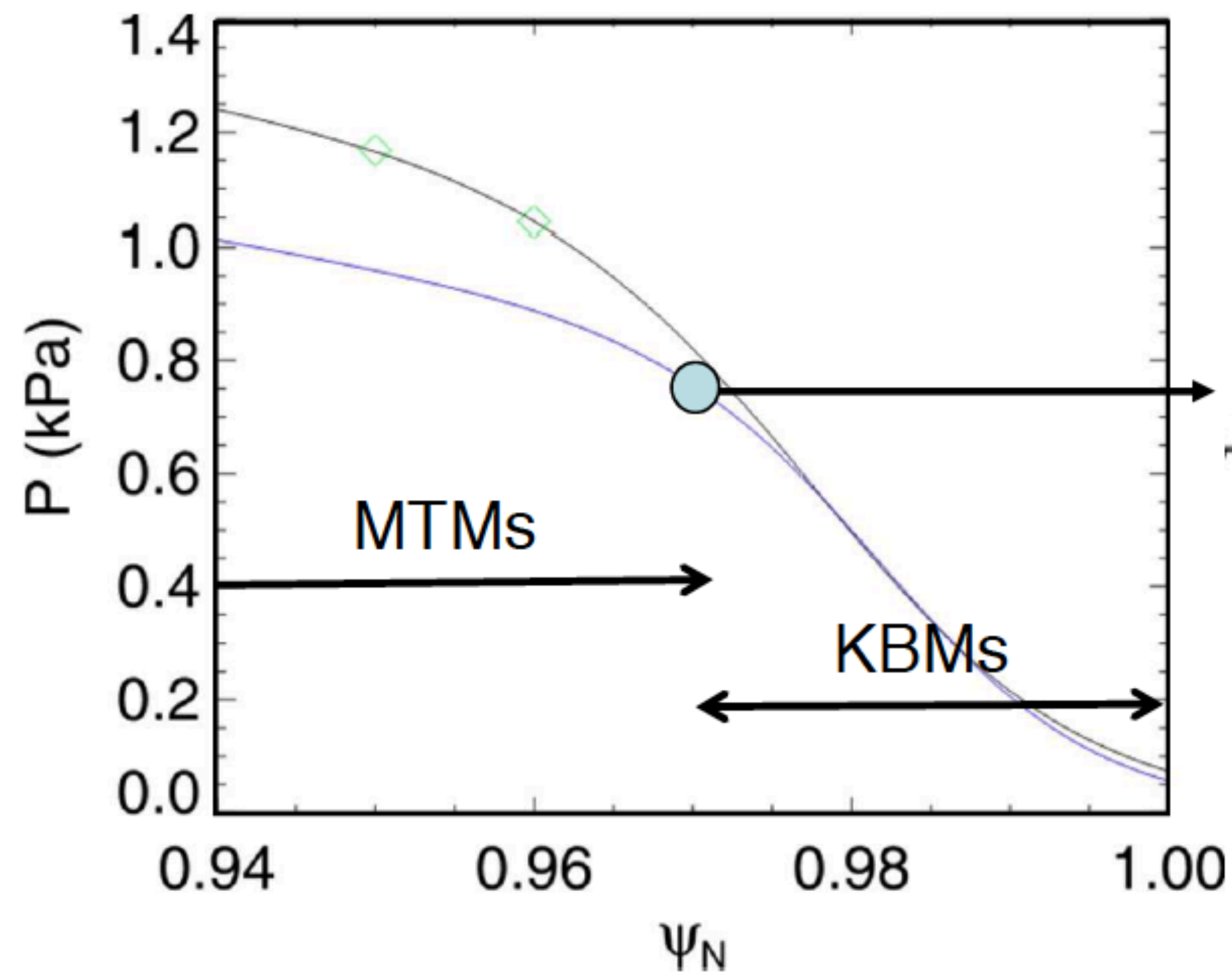


A model for pedestal formation

H. Wilson Lectures on Transport and stability of pedestals in tokamaks 2014

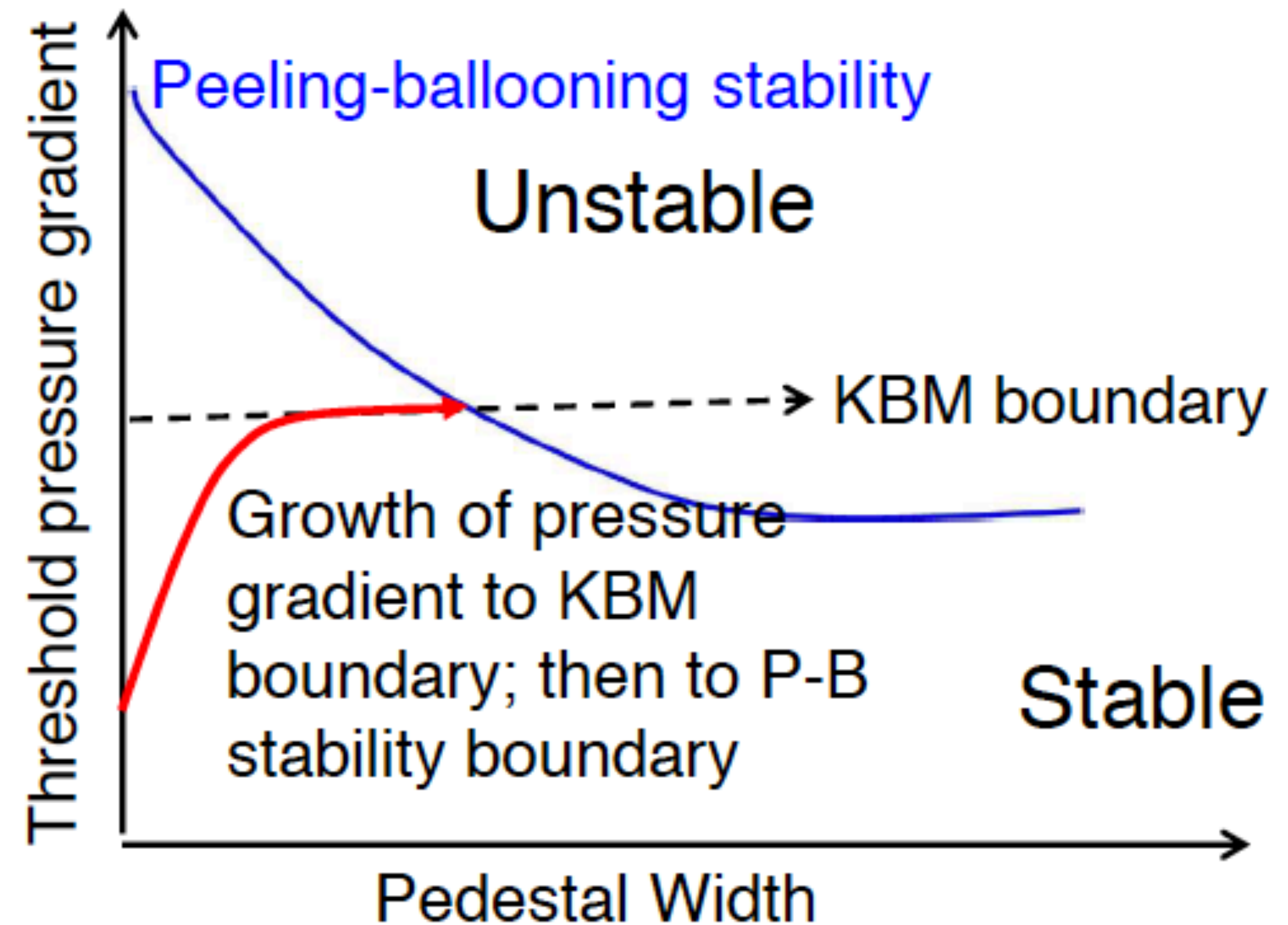
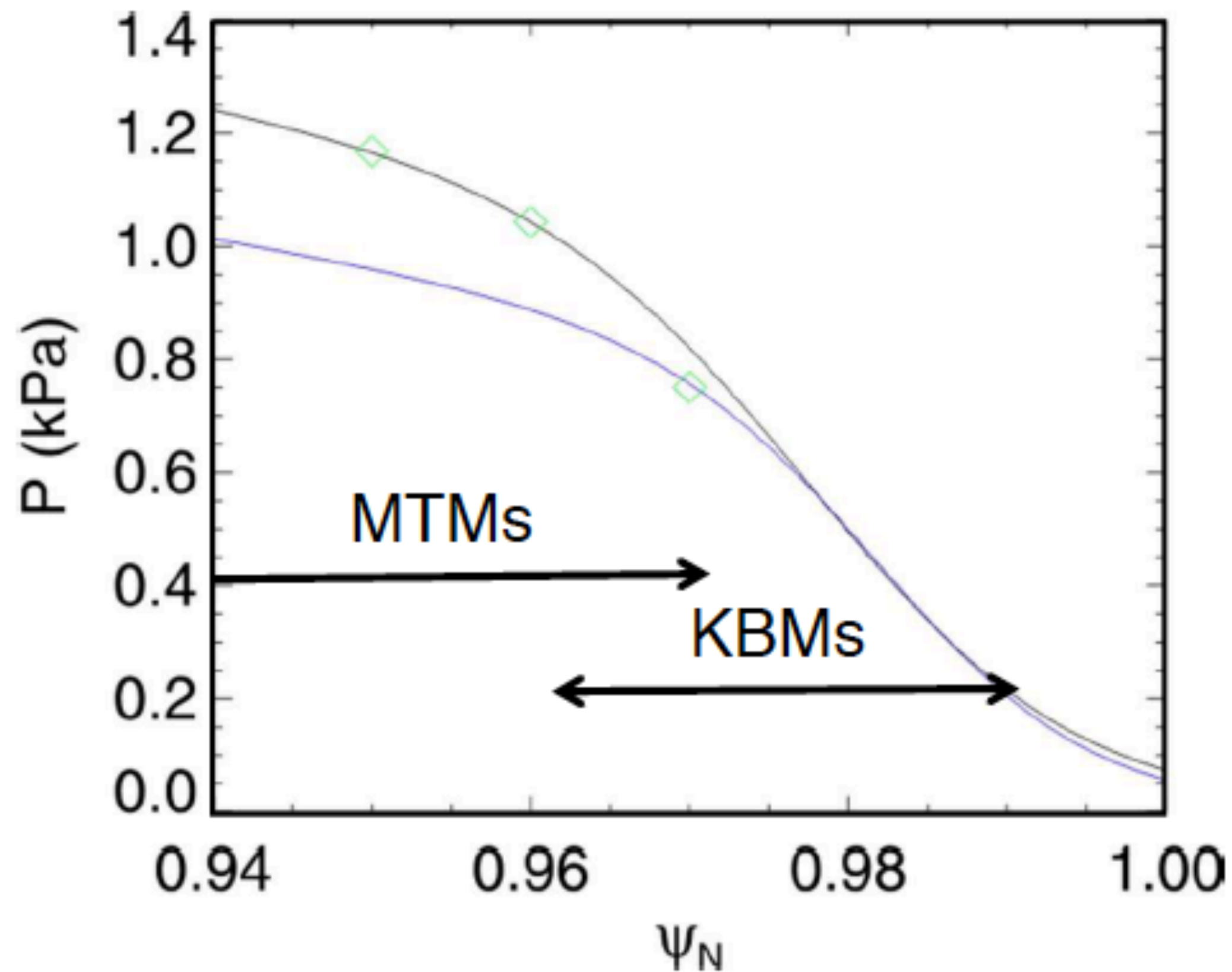
- Simulations suggest the following model:

- The pedestal gradients are initially held low by the micro-tearing mode
- This mode is initially stabilized close to the plasma edge, allowing the pressure gradient to build until the KBM is destabilized
- As the MTM is progressively stabilized, the pedestal widens into the core



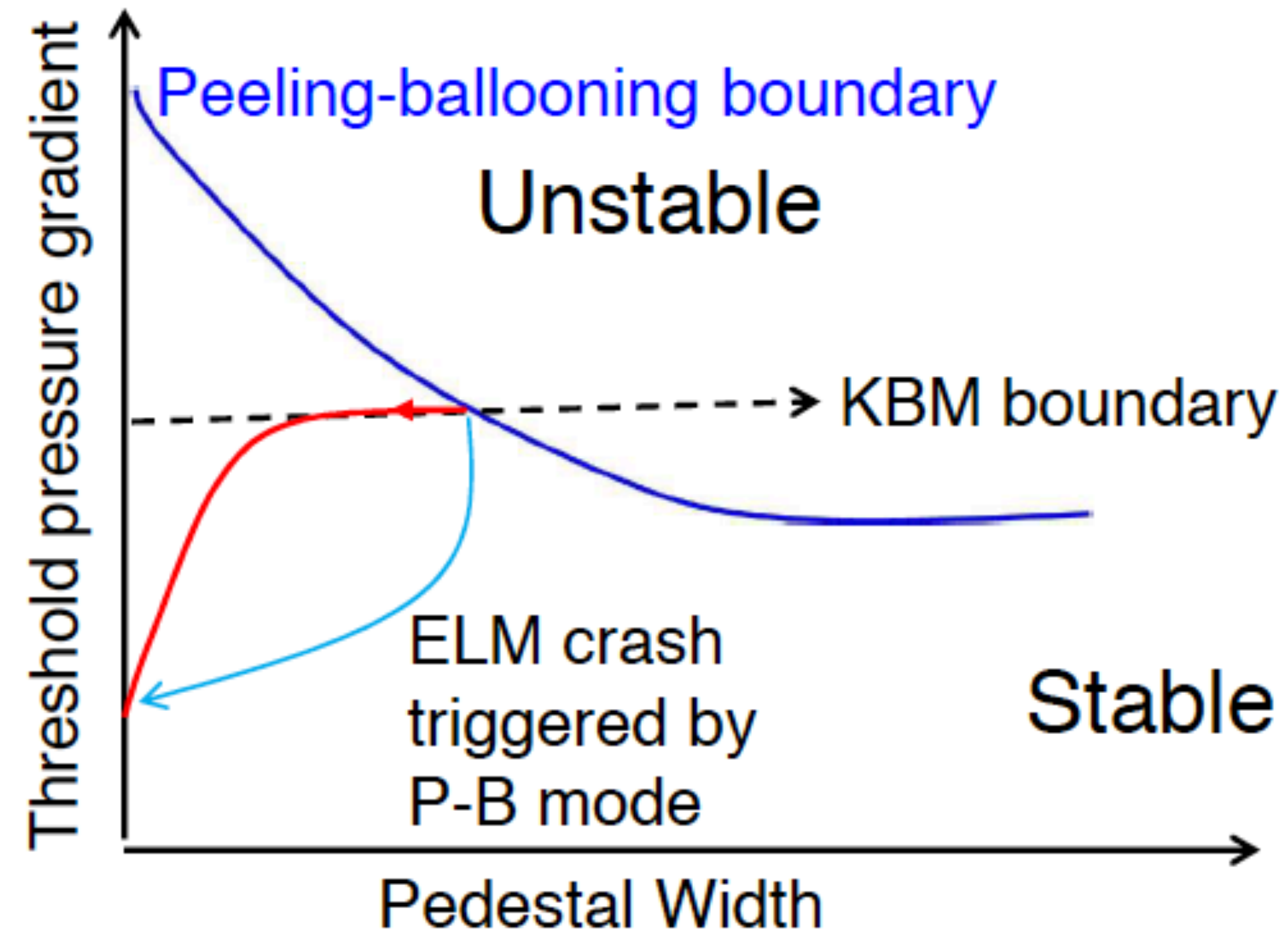
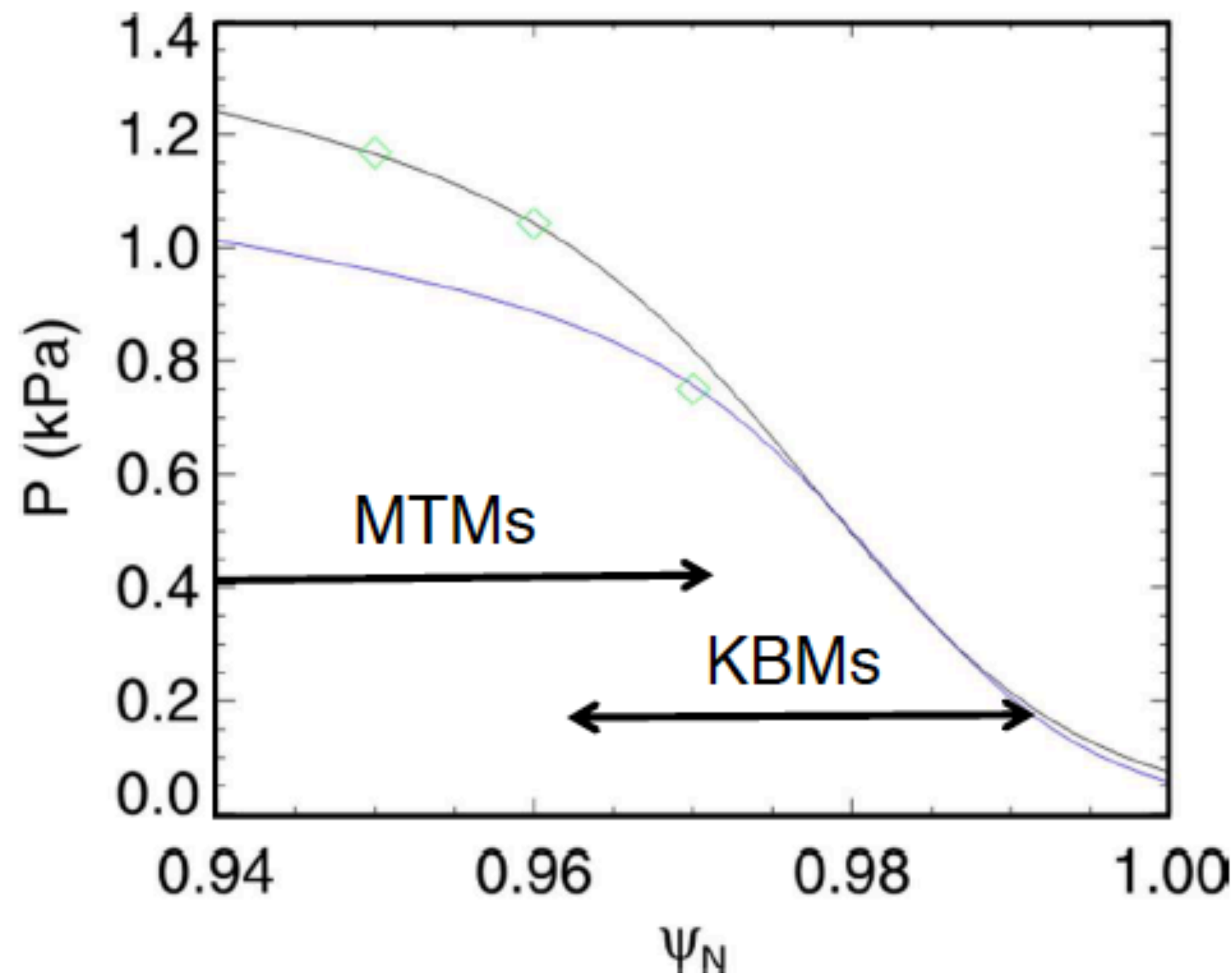
What limits the extent of the pedestal penetration ?

- The more the pedestal penetrates, the greater the pedestal width and the higher the core pressure
 - So what limits the pedestal width?
- It is actually the peeling-ballooning stability limit
 - A wider pedestal has a lower threshold for instability



What limits the extent of the pedestal penetration ?

- The more the pedestal penetrates, the greater the pedestal width and the higher the core pressure
 - So what limits the pedestal width?
- It is actually the peeling-ballooning stability limit
 - A wider pedestal has a lower threshold for instability



Outline

- L-H transition phenomenology
 - *Turbulence suppression*
 - *Access condition dependencies*
 - *Radial electric field shear*
- Formation of the Pedestal
 - *Brief overview*
 - *Importance of pedestal*
 - *Challenge in diagnosing pedestals*
- Edge localized modes
 - *How do we arrive at these ELMs?*
 - *ELM types survey*
- The type I ELM cycle
 - *Stability: Description*
 - *Pedestal evolution during ELM cycle*
 - *What control the pedestal?*
- **EPED model a predictive model for the pedestal pressure**
 - **Mechanics**
 - **Other dependencies**
- Small ELM regimes as a viable option for ITER
- Summary



EPED model: a predictive model for the pedestal pressure

- **EPED divides the instabilities that impact transport & stability in the pedestal into 2 categories:**
 - **“Global” modes: extend across edge barrier including significant impact at top**
 - **“Nearly-local” modes within the edge barrier**

Conjecture: while neoclassical and electron microinstabilities drive transport, KBM commonly provides the final constraint on the pressure gradient.

- **Key elements: neoclassical bootstrap current, nearly local KBM, global peeling ballooning**
- **Density is taken as key input**



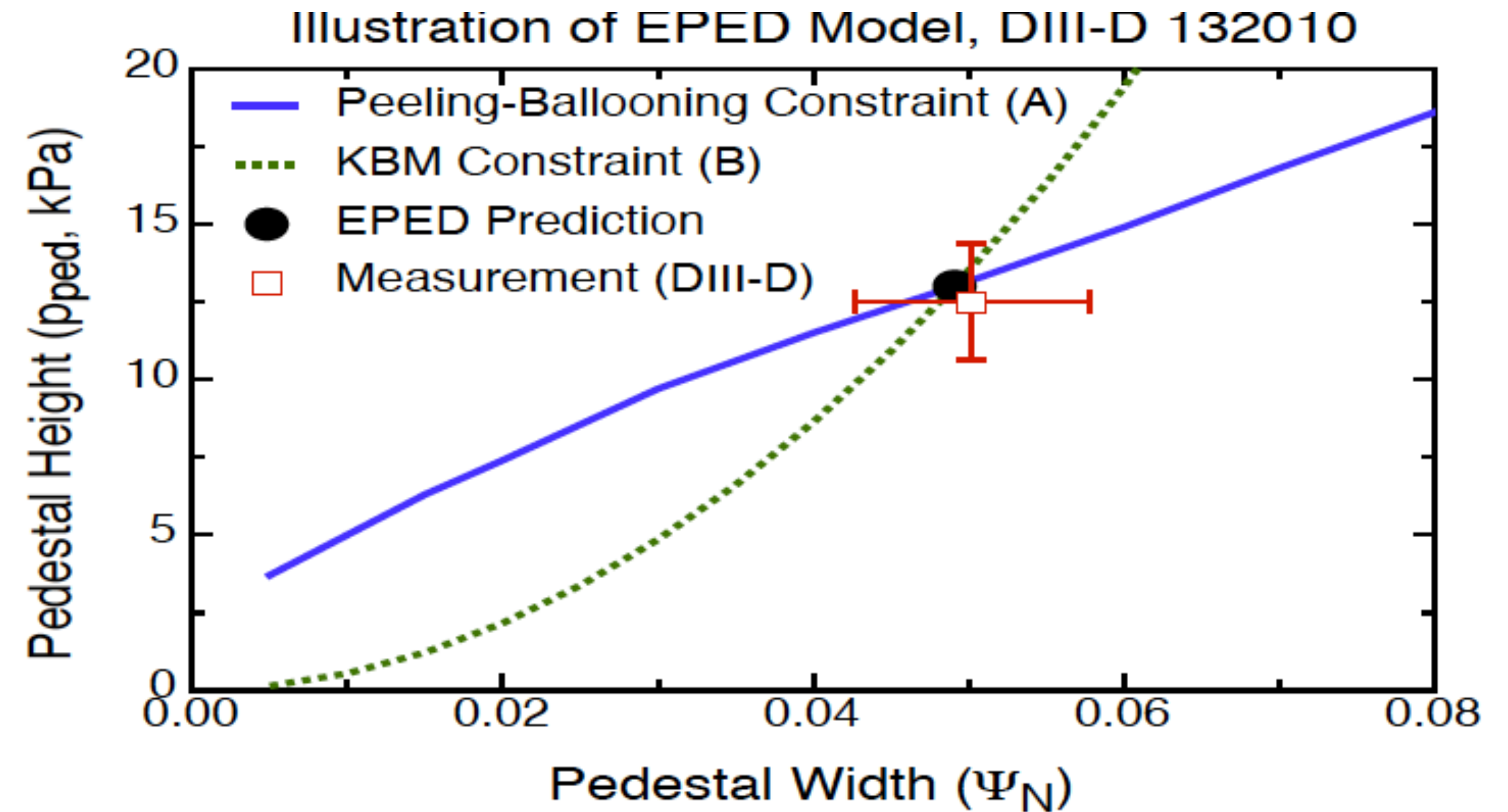
Mechanics of the EPED Predictive Model

- **Input:** $B_t, I_p, R, \alpha, \kappa, \delta, n_{ped}, m_i, [\beta_{global}, Z_{eff}]$
- **Output:** Pedestal height and width (no free of fit parameters)

A. P-B stability calculated via a series of model equilibria with increasing pedestal height

ELITE, $n=5-30$ nonlocal diamagnetic model from BOUT++ calcs

B. KBM onset: $\Delta \sim \beta_p^{1/2}$



P.B. Snyder et al Phys Plas 16 056118 (2009), NF 51 103016 (2011)

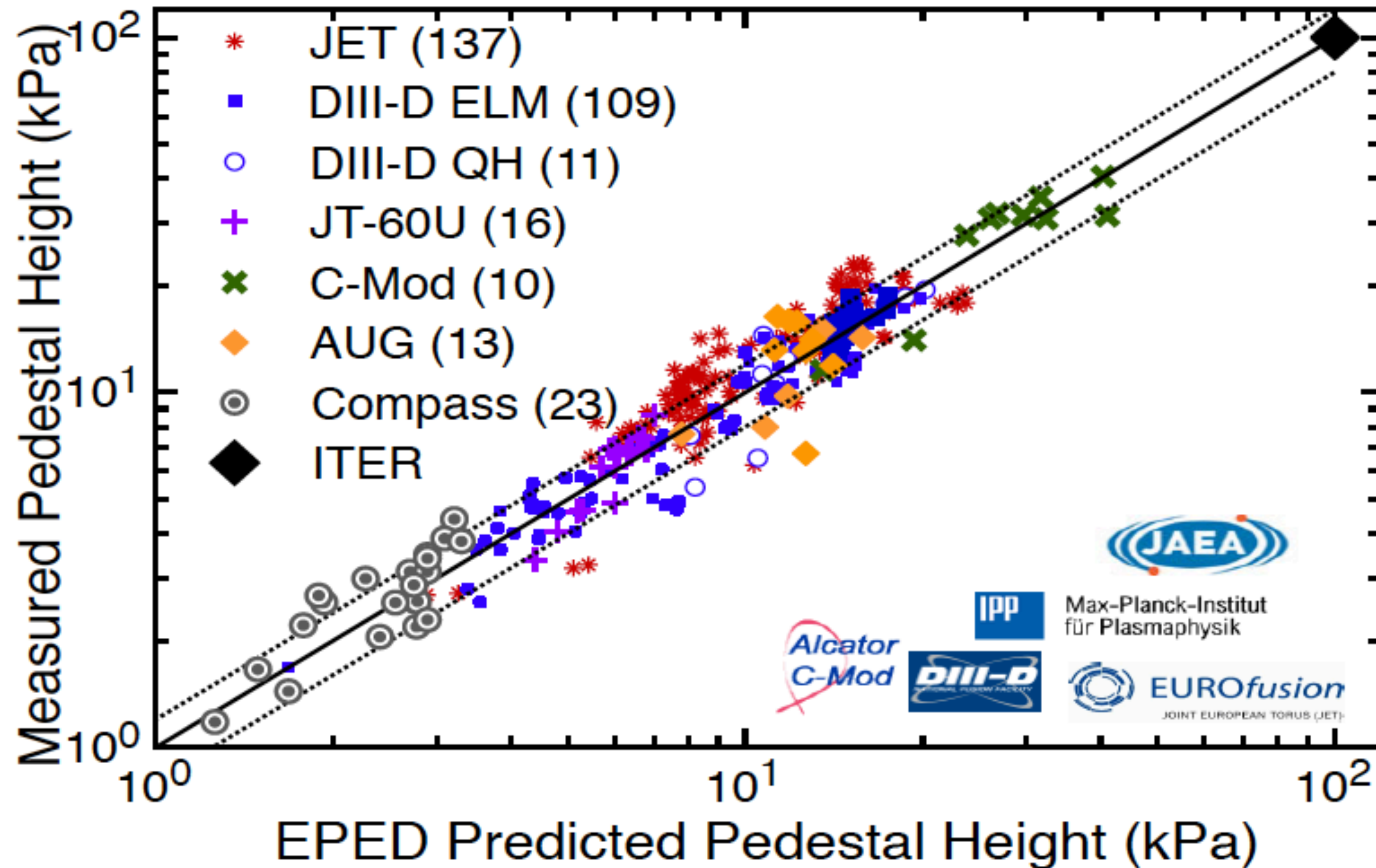
P-B stability and KBM constraints are tightly coupled: If either physics model (A or B) is incorrect, predictions for both height and width will be systematically incorrect

Effect of KBM constraint is counter-intuitive: Making KBM stability worse increases pedestal height and width



Numerous Experimental Tests of EPED Conducted

Comparison of EPED Model to 319 Cases on 6 Tokamaks



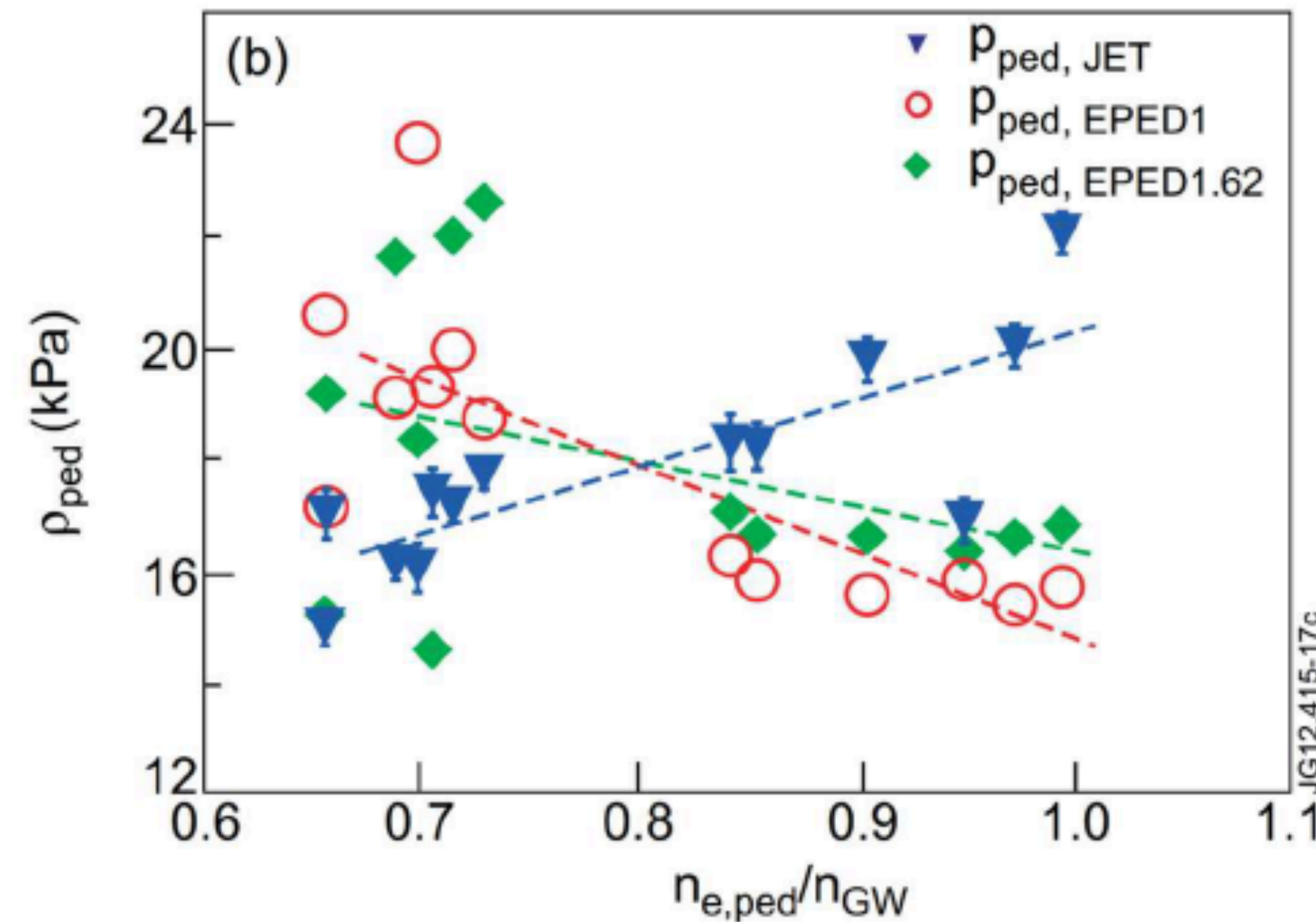
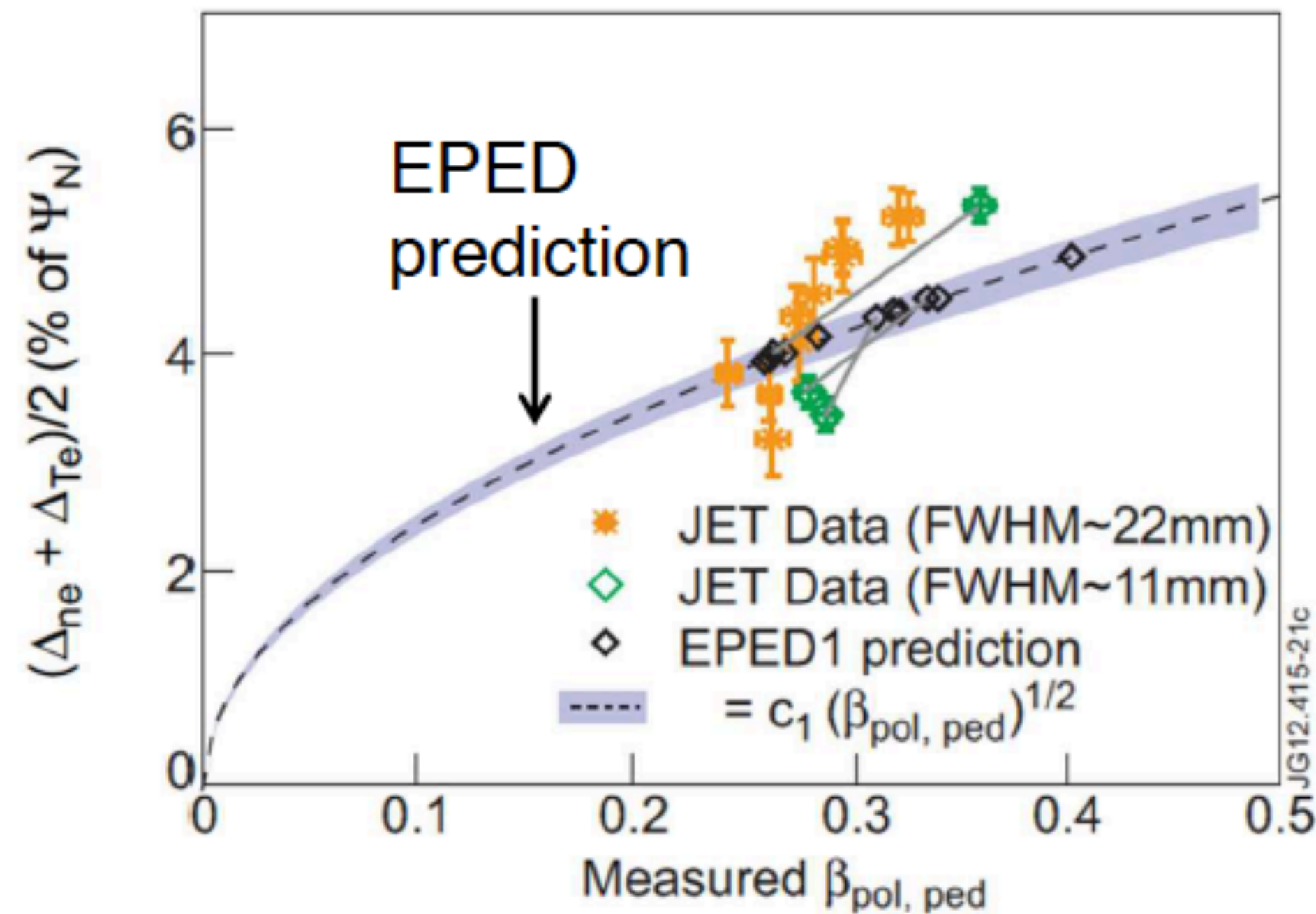
>800 Cases on 6 tokamaks

Broad range of density ($\sim 1-24 \times 10^{19} \text{m}^{-3}$), collisionality ($\sim 0.01-4$), $f_{\text{GW,ped}} (\sim 0.1-1.0)$, shape ($\delta \sim 0.05-0.65$), $q \sim 2.8-15$, pressure (1.7 - 35 kPa), $\beta_N \sim 0.6-4$, $B_t = 0.7-8\text{T}$

Typical $\sigma \sim 20-25\%$

EPED model: A major advance, but not the full picture

- While EPED broadly predicts pedestal width, there are differences in trends
- Recent analysis from JET, for example:



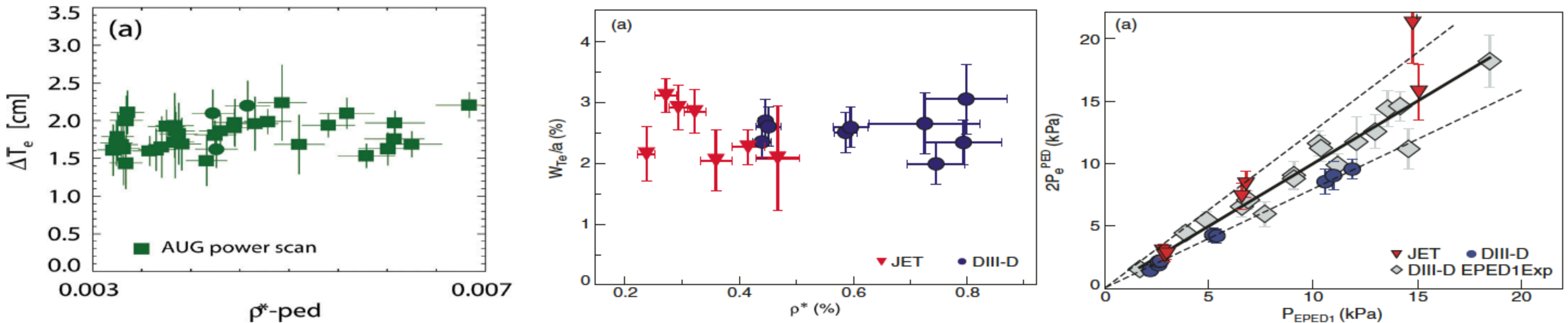
M Leyland, et al, Nucl Fusion 2013

Also on JET, the pedestal appears to narrow into the ELM cycle, rather than broaden – a challenge for the model (but there are ideas)



Dependence on ρ^* Important for Predictions of ITER

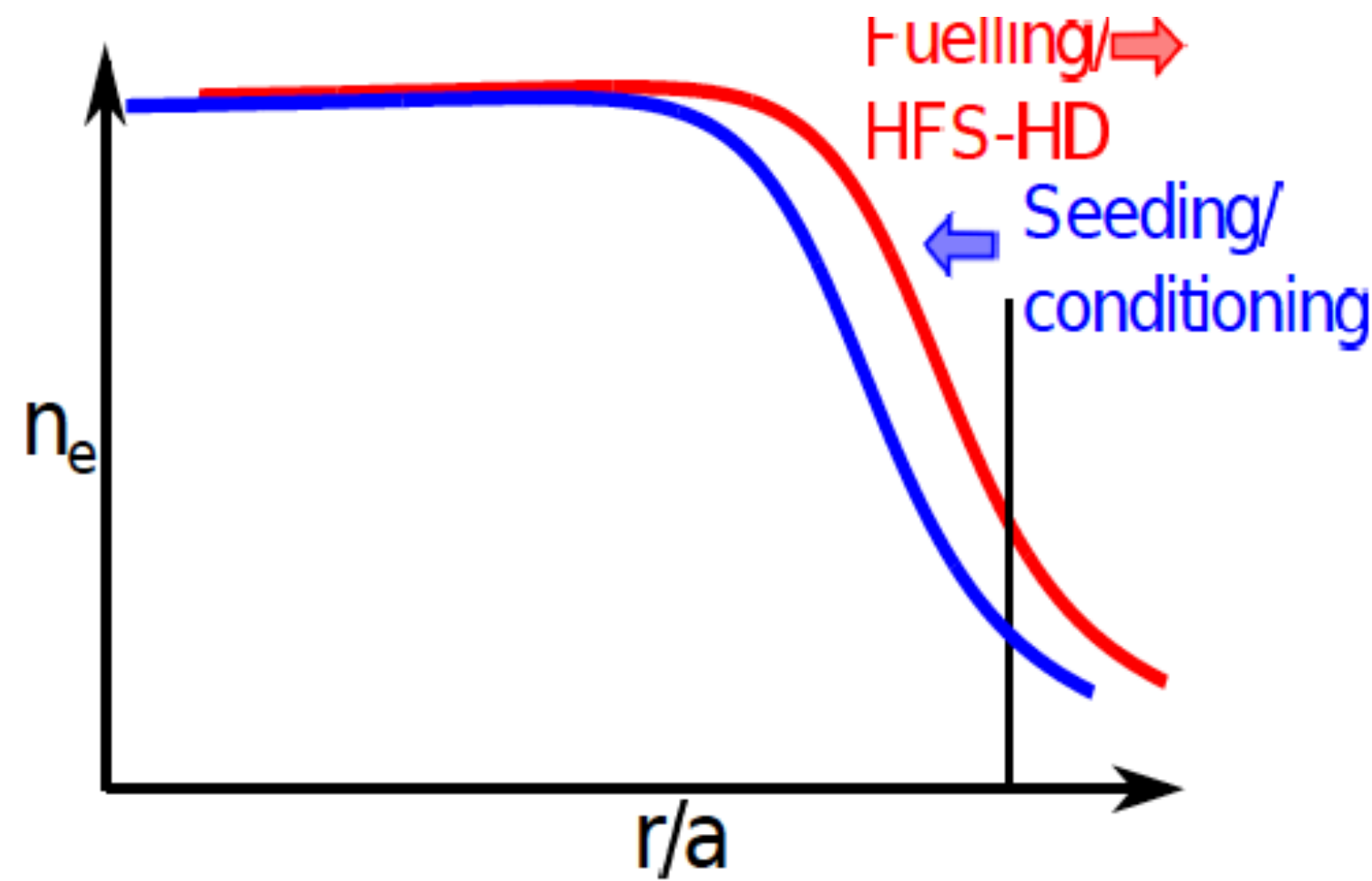
- Key dimensionless parameters for ITER or DEMO reactor matched on existing machines (ν^* , β , q , ϵ) **except ρ^***



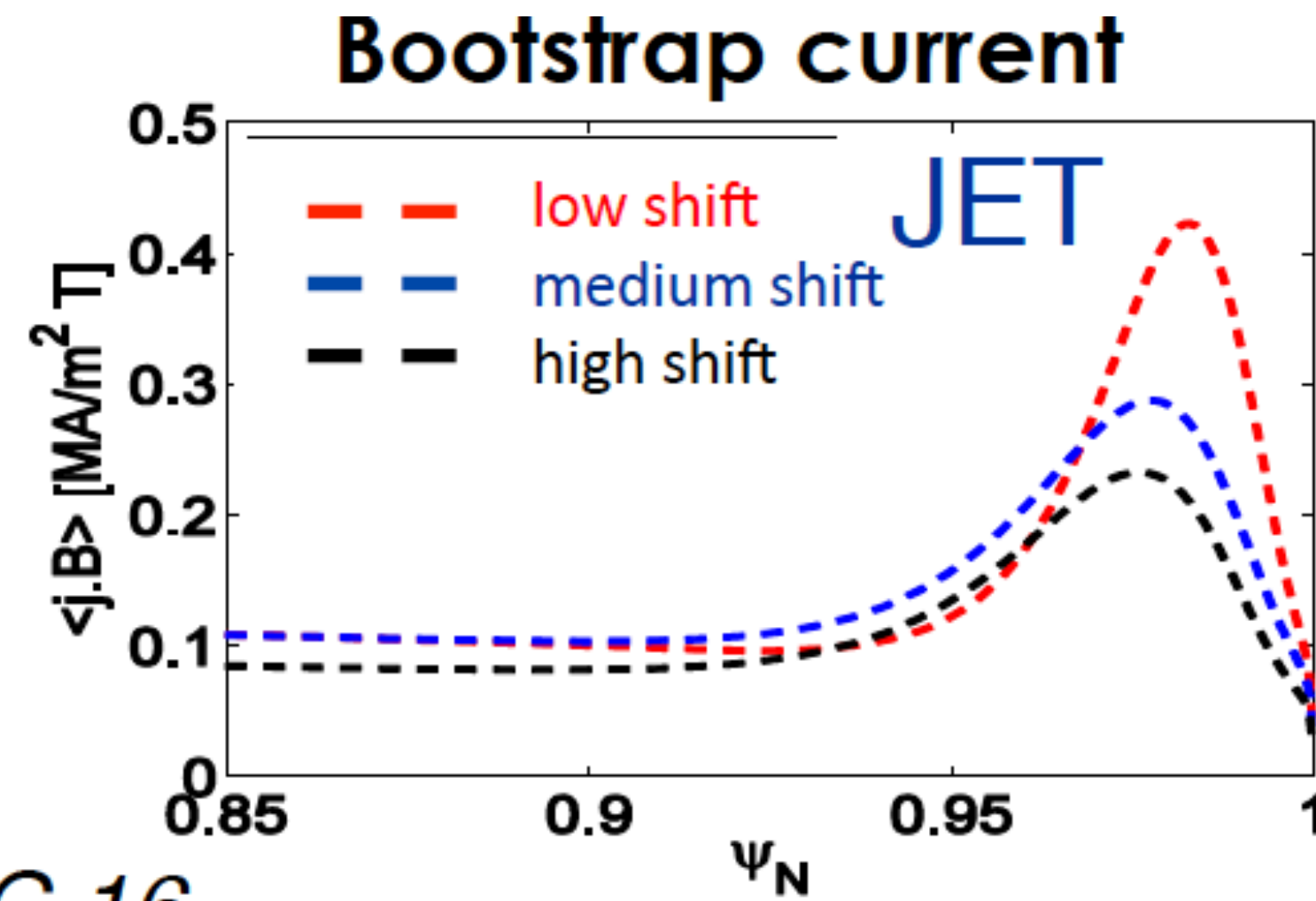
Important to continue testing and developing understanding at very small ρ^*



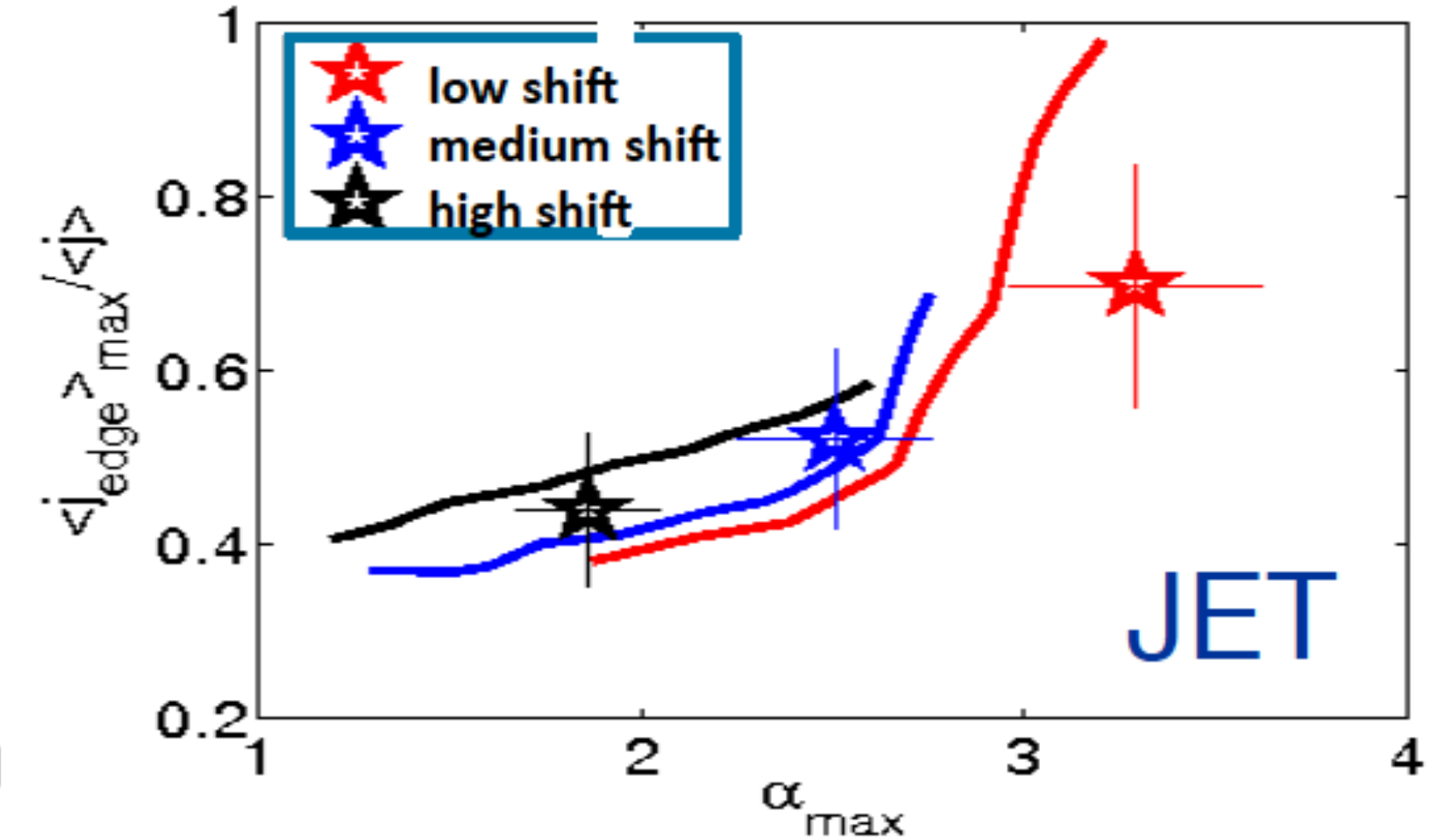
Fuelling and Impurity seeding can alter density profiles, and can decrease or increase the pedestal height



M. Dunne, AUG 16



S. Saarelma 17, C. Maggi NF17



- Density profile can be altered by fueling and seeding, changing collisionality and bootstrap current profile
- At high collisionality (p-limited pedestal), high gas puff unfavorable for pedestal height

Predictive capability for effects of fueling and seeding on density and impurity profiles

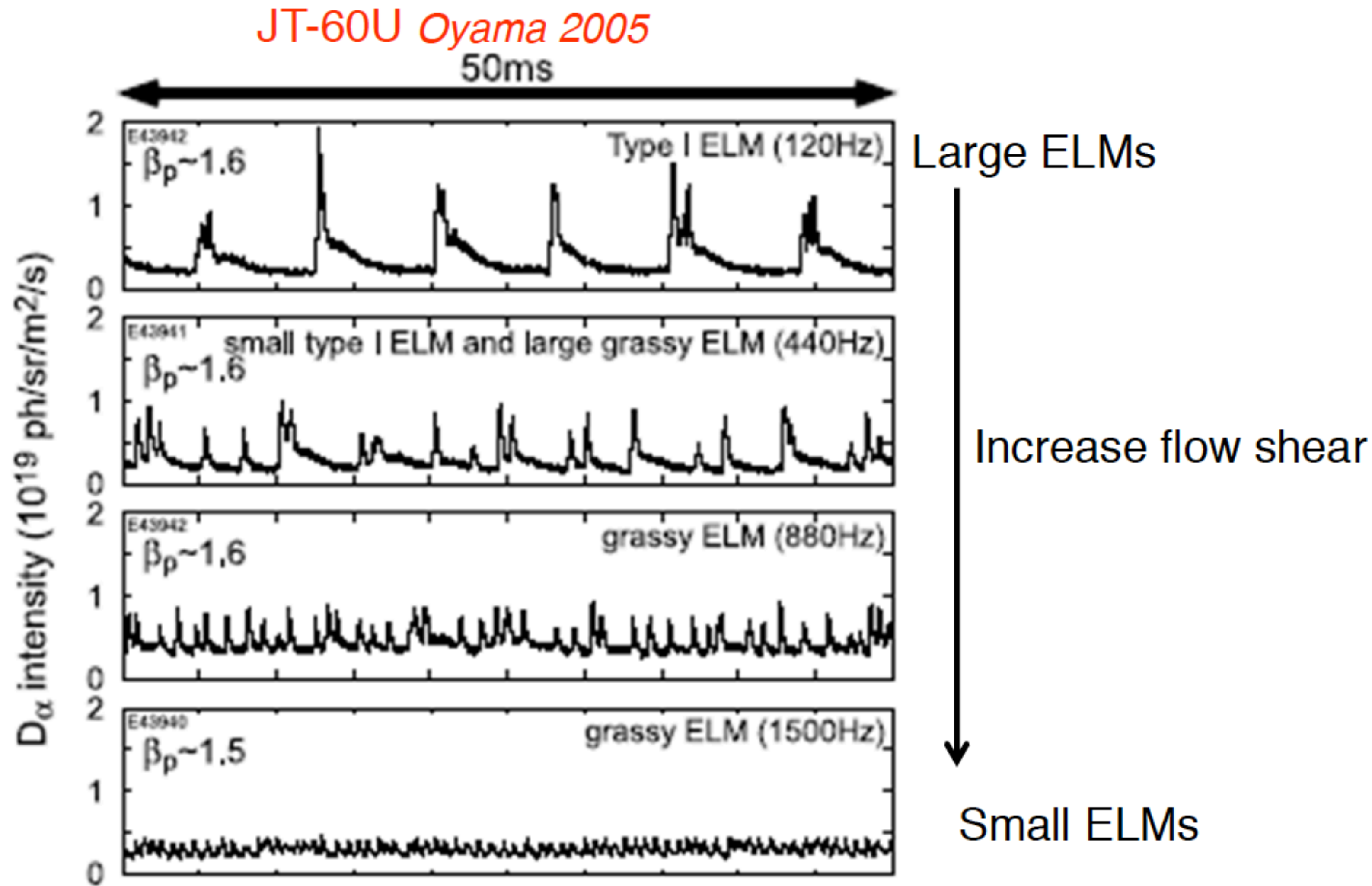


Outline

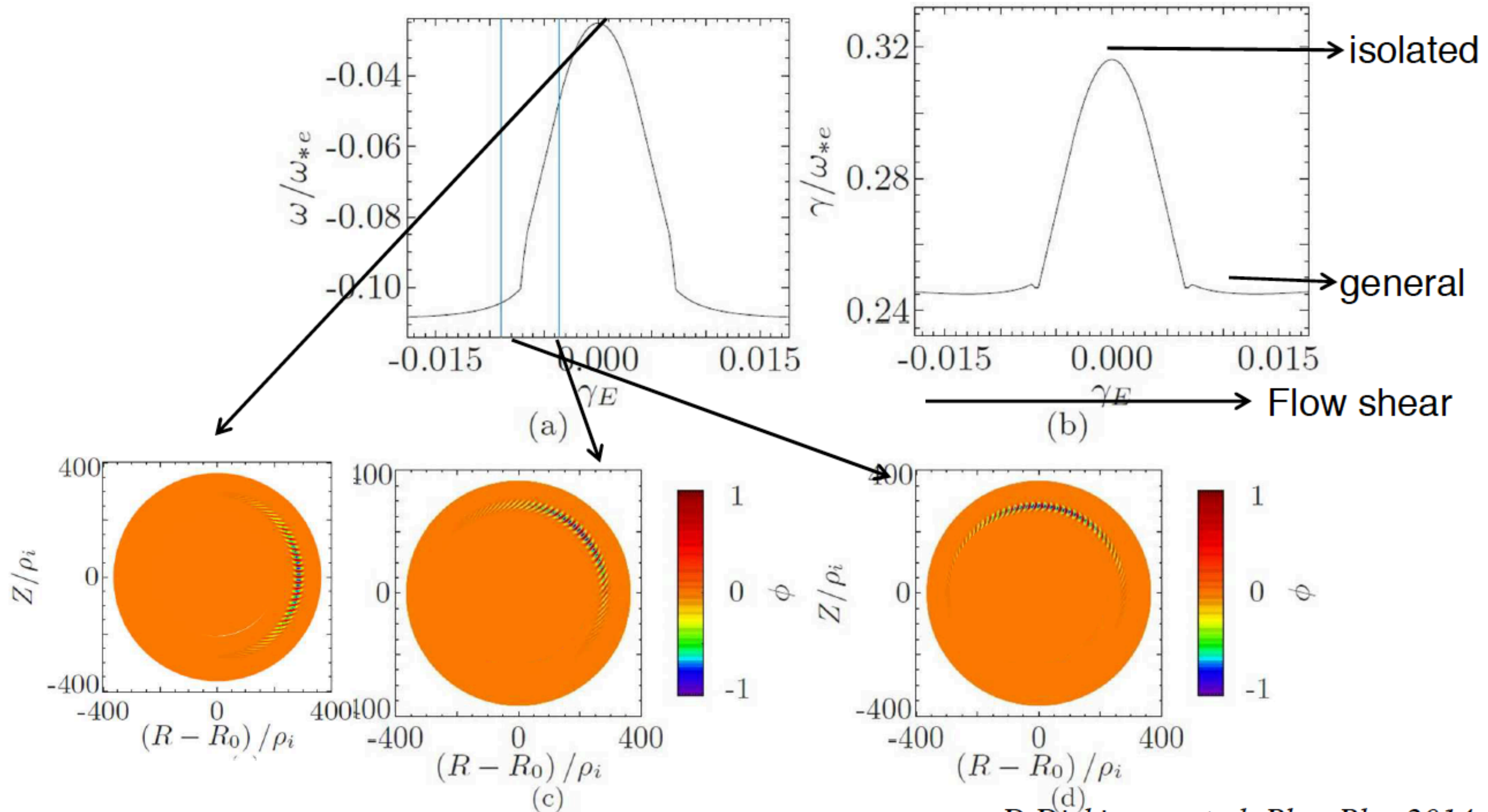
- L-H transition phenomenology
 - *Turbulence suppression*
 - *Access condition dependencies*
 - *Radial electric field shear*
- Formation of the Pedestal
 - *Brief overview*
 - *Importance of pedestal*
 - *Challenge in diagnosing pedestals*
- Edge localized modes
 - *How do we arrive at these ELMs?*
 - *ELM types survey*
- The type I ELM cycle
 - *Stability: Description*
 - *Pedestal evolution during ELM cycle*
 - *What control the pedestal?*
- EPED model a predictive model for the pedestal pressure
 - *Mechanics*
 - *Other dependencies*
- **Small ELM regimes as a viable option for ITER**
- Summary



Could this be an ingredient to achieve small ELM regimes?



Flow shear provides a control knob: Transition between isolated and general modes

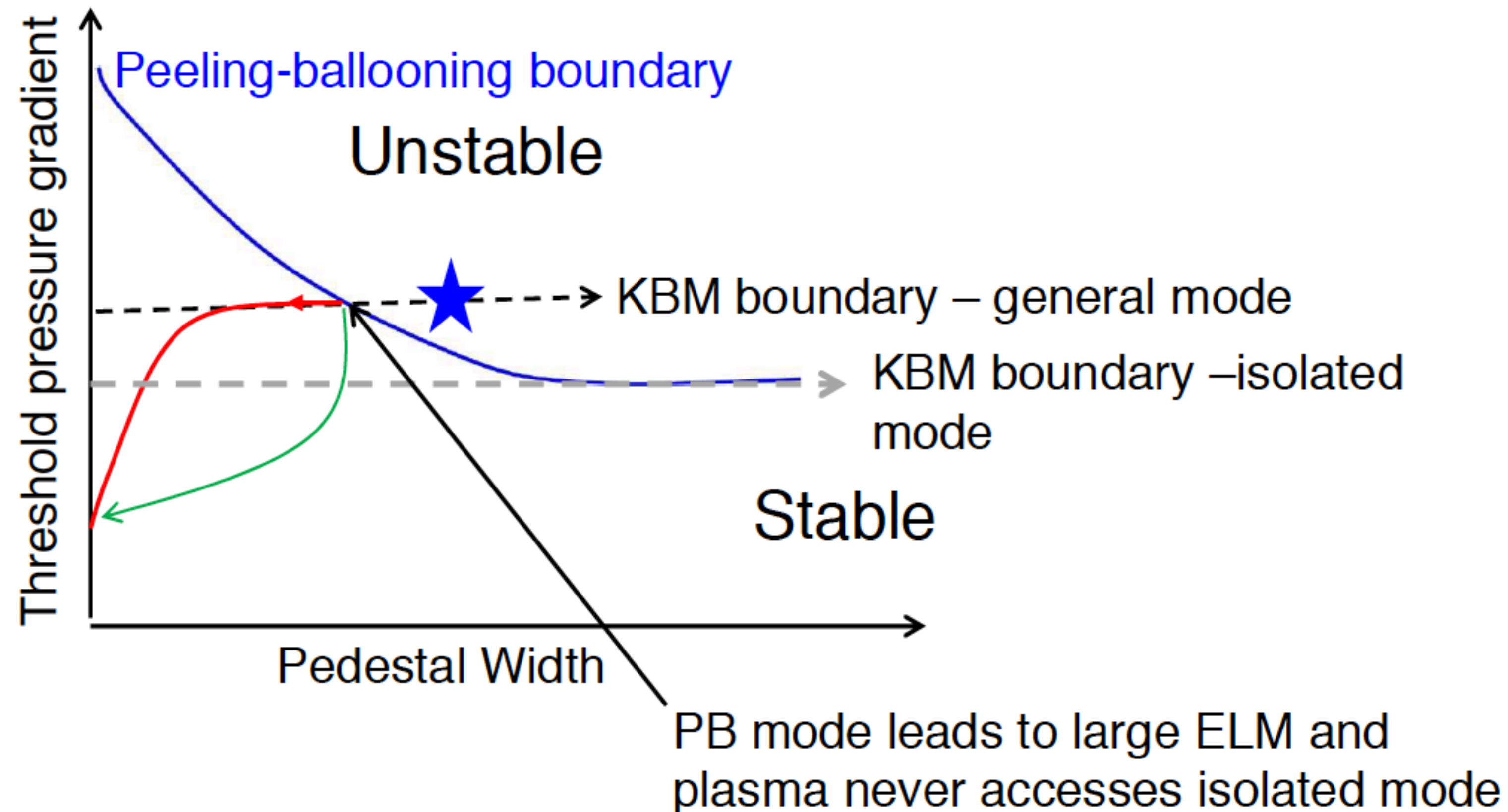


D Dickinson, et al, Phys Plas 2014



Towards a model for small (Grassy?) ELMs

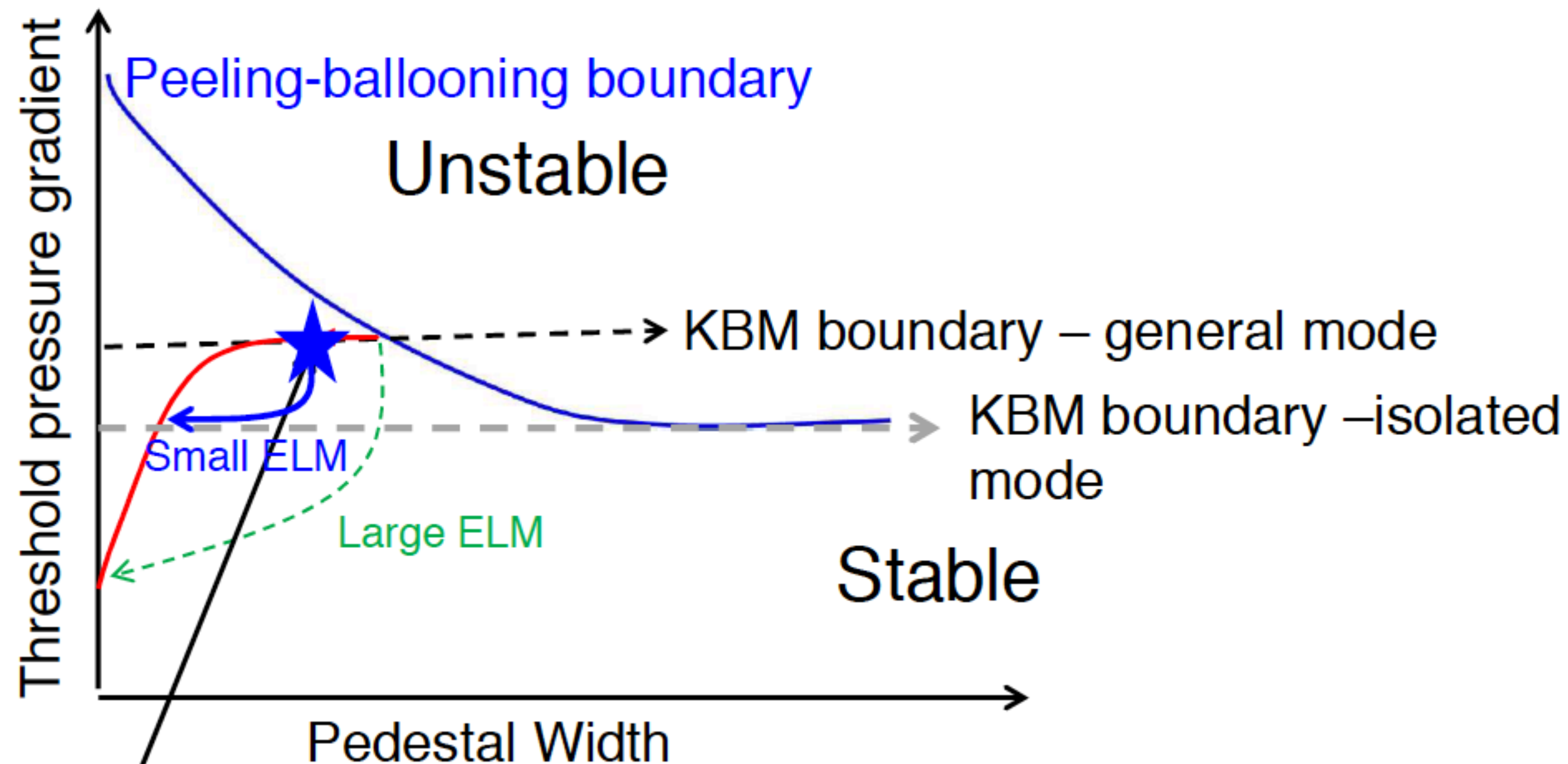
- Return to our pedestal evolution model
- Assume at some point \star the conditions are right for the isolated mode
- Large ELM situation \star beyond ideal MHD boundary



Towards a model for small (Grassy?) ELMs

H. Wilson Lectures on Transport and stability of pedestals in tokamaks 2014

- Return to our pedestal evolution model
- Assume at some point \star the conditions are right for the isolated mode
- Small ELM situation \star is encountered before large scale MHD event



If conditions for isolated mode are satisfied at \star (as flow evolves), plasma suddenly unstable \Rightarrow small ELM crash



Summary

- The pedestal region is key for confinement in ITER and the requirements of the plasma exhaust system
- The pedestal properties are a consequence of an interaction between turbulence and stability
- Plasma eruptions called ELMs are potentially very damaging for ITER
 - The largest “Type I” ELMs are well-understood in terms of peeling-ballooning modes, and cannot be tolerated on ITER
 - A range of possible ELM control techniques will be available on ITER
 - Ideal MHD stability properties indicate that the no-ELM QH Mode may be accessible for ITER (edge flow shear may be key) [See Max’s Lecture]
 - Small ELM regimes are more of a challenge – we have some ideas, but still great uncertainty whether they can be accessed on ITER
- Despite being a very small region, the pedestal is key to ITER performance and operation



Take-home message

The critical region of interaction is the edge transport barrier (also known as the H-mode pedestal), which mediates the tension between core and edge, and plays a defining role in the performance of both.

Exciting New Discoveries in Pedestal Physics will lead to Improvements in Fusion Performance!

